



Katie M. Brown  
Counsel

Duke Energy  
40 W. Broad Street  
DSC 556  
Greenville, SC 29601

O: 864-370-5296  
F: 864-370-5027

Katie.Brown2@duke-energy.com

July 30, 2021

**VIA ELECTRONIC FILING**

The Honorable Jocelyn G. Boyd  
Chief Clerk/Executive Director  
Public Service Commission of South Carolina  
101 Executive Center Drive, Suite 100  
Columbia, SC 29210

**Re: Application Regarding the Acquisition of Progress Energy, Incorporated by Duke Energy Corporation and Merger of Progress Energy Carolinas, Incorporated and Duke Energy Carolinas, LLC  
Docket Number: 2011-158-E**

Dear Ms. Boyd:

Pursuant to Regulatory Condition 3.10, on a quarterly basis, Duke Energy Carolinas, LLC ("DEC") and Duke Energy Progress, LLC ("DEP") must file (a) a list of all active dockets at the Federal Energy Regulatory Commission ("FERC") in which DEC, DEP, Duke Energy Corporation ("Duke Energy"), or Duke Energy Business Services, LLC ("DEBS") is a party, with new information in each quarterly filing tracked; and (b) a list of the periodic reports filed by DEC, DEP, Duke Energy, or DEBS with the FERC.

Attachment A to this letter lists all active FERC dockets as of June 30, 2021, to which DEC, DEP, Duke Energy, or DEBS is a party. Attachment B to this letter lists the periodic reports filed by DEC, DEP, Duke Energy, and DEBS with the FERC during the second quarter of 2021. Black-line versions of Attachments A and B indicating the changes since the last filing are also attached.

The referenced dockets and reports can be accessed from the FERC eLibrary system at <http://elibrary.ferc.gov>.

Sincerely,

Katie M. Brown

Enclosures

cc: Ms. Nanette Edwards, Office of Regulatory Staff  
Ms. Dawn Hipp, Office of Regulatory Staff  
Mr. Jeff Nelson, Office of Regulatory Staff  
Mr. Michael Seaman-Huynh, Office of Regulatory Staff

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| <b>Docket No(s).</b> | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>   | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|----------------------|---|--|---|
| DOE 86 FR 21309      | Duke Energy   | DOE request for information regarding securing the continued security of the U.S. critical electric infrastructure, issued 4/22/21. On 6/7/21 Duke Energy filed comments.  | Q2 2021   |
| RM16-17              | Duke Energy   | Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, FERC held a technical workshop 8/11/16 on the draft Data Dictionary. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. On 7/19/19 FERC issued Final Rule, Order No. 860. Following requests for clarification, FERC granted rehearing for further consideration on 9/16/19. On 2/20/20 FERC issued order on rehearing and clarification. On 5/20/20 FERC extended deadline for MBR tariff filings to 8/1/21. On 3/18/21 FERC delayed compliance with Order 860 until 7/1/21. | Q2 2021   |
| RM19-15              | Duke Energy, DEC, DEP                                       | NOPR to revise PURPA 201 and PURPA 210 regulations in light of changes in the energy industry since 1978, issued 9/19/19. Duke Energy filed comments on 12/3/19. On 7/16/20 FERC issued Final Rule, Order 872. Petitions for reconsideration were filed and a petition for review to the 9th Circuit Court (Case Nos. 20-72888 and 20-73375). On 11/19/20 FERC issued Final Rule, Order 872-A, with errata on 12/30/20. Petition for review was filed with DC and 9 <sup>th</sup> Circuit Courts of Appeal in first quarter 2021 (Case No. 20-70113).  | Q2 2021   |

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|----------------------|---|--|---|
| RM20-10              | Duke Energy, DEC, DEP                                       | NOPR to revise Electric Transmission Incentives Policy, issued 3/20/20. On 7/1/20 Duke Energy intervened. On 6/25/21 Duke Energy filed comments. Waiting for FERC order.   | Q2 2021   |
| RM20-16              | Duke Energy, DEC, DEP                                       | NOPR to revise pro forma OATT and related FERC regulations to improve the accuracy and transparency of transmission line ratings, issued 11/19/20. On 3/22/20 Duke Energy filed comments. Waiting for FERC order.  | Q2 2021   |
| RM20-20              | Duke Energy, DEC, DEP                                       | Bloom Energy Corp. petition for rulemaking, asking FERC to clarify the definition of “useful thermal energy output” of a topping-cycle cogeneration facility in PURPA regulations, filed 8/19/20. On 9/8/20 Duke Energy intervened. On 12/17/20 FERC issued Final Rule, Order 874. Rehearing was requested 1/19/21. On 2/19/21 FERC granted rehearing for further consideration. | Q2 2021   |
| RM21-9               | Duke Energy, DEC, DEP                                       | Notice of Inquiry on Financial Assurance measures for hydro projects, issued 1/26/21. On 3/30/21 Duke Energy filed comments. Waiting for FERC order.   | Q2 2021   |
| RM21-15              | DEBS, DEC, DEP  | Petition for rulemaking to amend Uniform System of Accounts to move industry association dues from Account 930.2 to 426, filed by Center for Biological Diversity 3/17/21. On 4/26/21 DEBS filed comments on behalf of utility affiliates. Waiting for FERC order.   | Q2 2021   |
| AD20-17              | Duke Energy   | Technical conference on impacts of COVID-19 on energy industry. Comments and prepared statements were filed. Technical conference was held 7/8/20 and 7/9/20, followed by another comment period. Waiting for FERC order.  | Q2 2021   |
| CP13-551             | DEC, DEP  | Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. On 6/12/14 FERC   | Q2 2021   |

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|               |  | issued its schedule for environmental review of the project. On 12/18/14 FERC issued certificate and approved abandonment of facilities. On 12/19/14 filed its acceptance of certificate. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. On 11/28/16 FERC granted the request to place the Skillman Loop in service. Construction and restoration activities continued. On 4/10/20 Transco's restoration report indicated that restoration was complete for all but 3 of 89 wetlands. (Closed 6/30/21) |  |
| CP15-16       | DEC, DEP   | Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on pending matter. On 2/2/18 Transco filed an emergency request for issuance of the Final Supplemental EIS concurrently with an order on  | Q2 2021  |

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|---------------|--|---|--|
|               |  | remand reissuing the certificates for Sabal Trail, Florida Southeast Connection and the Hillabee expansion projects (the Southeast Market Pipeline or SMP), to allow continued gas transportation service following the DC Circuit Court's 8/22/17 decision and 1/31/18 order denying rehearing. On 2/5/18 FERC issued the Final Supplemental EIS. On 3/14/18 FERC issued its order on remand, reinstating certificates and abandonment authority for the SMP project. On 8/10/18 FERC denied requests for rehearing and dismissed request for stay as moot. Construction activities continued. On 6/15/21 FERC granted extension to 5/1/23 to complete construction.   |  |
| CP15-29       | DEC, DEP   | Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During fourth quarter 2016, FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. On 9/10/18 FERC issued inspection report finding Transco in compliance with the environmental conditions of the 10/21/15 Order Issuing Certificate for the Project and recommending no further inspections. Restoration activities continued. | Q2 2021  |
| CP15-138      | DEC, DEP   | Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco   | Q2 2021  |

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|---------------|--|--|--|
|               |  | accepted the CPCN. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. On 1/19/18 NCUC filed petition for review with the DC Cir. Court. On 3/1/18 FERC denied Sierra Club's requests for rehearing. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 5/15/18 FERC granted request to begin partial path service for certain facilities, which Transco placed in service 6/1/18. On 10/4/18 FERC issued authorization to place facilities in service. On 10/7/18 Transco notified FERC that facilities had been placed in service. On 11/14/18 Transco filed a motion to amend the Certificate. On 4/3/19 the DC Circuit dismissed the petition for review. Post-construction activities continued. |  |
| CP15-499      | DEC, DEP   | Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. On 2/15/18 FERC issued certificate with conditions. On 3/27/18 Texas Eastern filed its notice of commencement of construction. During third quarter 2018, FERC issued an inspection report finding Texas Eastern in compliance with the 2/15/18 Order Issuing Certificate On 11/28/18 FERC authorized Texas Eastern to commence service. On 12/11/18 Texas Eastern notified FERC that certain facilities had been placed in service. On 6/22/21 Texas Eastern filed final status report on restoration activities. (Closed 6/30/21)   | Q2 2021  |

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|------------------------------------|--|--|--|
| CP15-554;<br>CP15-555;<br>CP15-556 | DEC, DEP   | Atlantic Coast Pipeline (ACP) application, filed 9/18/15 by Dominion Transmission, ACP and Piedmont Natural Gas Co. On 7/21/17 FERC issued the final EIS. On 10/13/17 FERC granted certificate with conditions. On 12/11/17 FERC granted rehearing for further consideration. On 3/14/18 Appalachian Voices filed petition for writ with 4 <sup>th</sup> Circuit Court to stay FERC's 10/13/17 order authorizing construction. On 3/26/18 FERC granted rehearing for further consideration (of order allowing limited tree felling), following protests. On 3/28/18 FERC denied request to modify time-of-year tree felling restrictions. On 5/11/18 Defenders of Wildlife et al. filed a motion for stay and request for rehearing. During third quarter 2018, FERC issued environmental compliance monitoring reports, variance requests and authorizations to proceed with work in NC, WV and PA. FERC also granted rehearing for further consideration of several orders and letter orders. During fourth quarter 2018, opposition to the pipeline continued in the DC and 4th Circuit Courts. Construction activities have been halted, but weekly reports continued. On 7/10/20 DETI advised that Dominion Energy and Duke Energy announced cancellation of the project. DETI requested a one-year extension of time, until 10/13/21, to complete construction activities required to complete the abandonment and restoration of the ACP project areas. On 1/4/21 ACP filed its disposition and restoration plan. On 3/21/21 FERC issued notice of opening the scoping period, which allows comments. Waiting for FERC order. | Q2 2021  |
| CP16-3                             | DEC, DEP   | Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. On 12/21/16 FERC issued the CPCN and approved  | Q2 2021  |

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|               |  | abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, FERC issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. On 10/31/17 FERC authorized Texas Eastern to place Access South and Adair Southwest Projects into interim service. On 11/9/17 Texas Eastern filed notice that the 2 projects had been placed in interim service on 11/1/17. Texas Eastern filed on 5/3/18 its final notice of commencement of service. Restoration activities continued.   |  |
| CP16-10       | DEC, DEP   | Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. On 10/13/17 FERC issued certificate, subject to 41 conditions. On 10/20/17 MVP accepted the certificate. On 12/13/17 FERC granted rehearing for further consideration of 10/13/17 order. On 3/26/18 FERC granted rehearing for further consideration of various 2018 letter orders authorizing construction. During second and third quarters 2018, many parties filed comments and petitions for review with the DC Circuit Court of Appeals; and FERC authorized construction on some segments. During fourth quarter 2018, the Army Corps of Engineers suspended its permit after the 4th Cir. Court of Appeals vacated the permit; and FERC issued environmental monitoring reports. | Q2 2021  |



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|               |  | Construction activities continued. On 10/9/20 FERC granted 2-year extension to complete certain construction activities. On 12/11/10 FERC issued order on rehearing, confirming earlier order. Another comment period underway.  |  |
| CP17-101      | DEC, DEP   | Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. DEC and DEP intervened on 4/27/17. On 3/23/18 FERC issued notice of the draft EIS, with comments due 5/14/18. On 5/3/19 FERC issued certificate. Requests for rehearing were filed. On 7/2/19 FERC granted rehearing for further consideration of 5/3/19 order. During third quarter 2019 Transco filed implementation plan. On 4/16/20 FERC denied requests for rehearing and stay. On 3/22/21 FERC allowed comments on Transco request for 2-year extension to complete construction. Comment period underway. On 5/20/21 FERC granted extension to 5/3/23 to place project in service. Rehearing was requested. | Q22021   |
| CP17-212      | DEC  | Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. DEC intervened on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. On 10/4/18 FERC authorized Transco to place the project in service. Post-construction activities continued.   | Q2 2021  |
| CP17-450      | DEC, DEP   | Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17;   | Q2 2021  |

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|               |  | FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the Commission within 10 days of abandonment. On 5/7/19 Transco filed motion to vacate abandonment authorization. (Closed 6/30/21)   |  |
| CP18-18       | DEC, DEP   | Transco abbreviated CPCN application for Gateway Expansion Project, filed 11/15/17. On 12/12/17 DEC and DEP intervened. On 1/2/18 FERC issued notice of intent to prepare an environmental assessment (EA) for the project and requested comments by 2/2/18. On 5/24/18 FERC issued schedule for environmental review. On 12/12/18 FERC issued the CPCN, with Commissioner Glick dissenting. On 12/20/18 Transco filed an implementation plan. On 2/11/19 FERC granted rehearing for further consideration. On 11/22/19 FERC authorized Transco to place Roseland Station into service. Post construction activities continued. | Q2 2021  |
| CP18-145      | DEC, DEP   | Transco abbreviated CPCN application for reduced operating pressures and partial abandonment of capacity of Caverns 5, 6, and 7 at the Eminence Storage Field located in Covington County, MI, filed 3/27/18. On 4/9/18 DEC and DEP intervened. On 1/24/19 FERC approved abandonment and amended Certificate. On 4/4/19 FERC granted clarification. On 4/5/19 Transco accepted FERC's 1/24/19 order. Abandonment activities continued. Semiannual monitoring reports are required for 5 years.  | Q2 2021  |
| CP18-186      | DEC, DEP   | Transco abbreviated CPCN application to construct Southeastern Trail Project, filed 4/11/18. On 5/24/18 DEC and DEP intervened. On 10/17/19 FERC issued a CPCN, with Commissioner Glick dissenting.   | Q2 2021  |

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|----------------------|---|--|---|
|                      |   | On 10/31/19 Transco filed an implementation plan. Construction activities continued.   |   |
| CP18-533             | DEC, DEP  | Texas Eastern abbreviated application to abandon facilities (lateral pipeline and associated facilities located in Harrison and Marion Counties, TX), filed 7/24/18. DEC and DEP intervened on 8/28/18. On 1/25/19 FERC issued Environmental Assessment. On 8/21/19 FERC approved abandonment. During third quarter Texas Eastern filed its implementation plan. Abandonment activities complete. Texas Eastern filed reports of restoration activities.   | Q2 2021   |
| CP19-14              | DEC, DEP  | Mountain Valley Pipeline (MVP) CPCN application for the Southgate Project, filed 11/6/18. DEC and DEP intervened on 12/10/18. On 2/14/20 FERC issued Final EIS. On 6/18/20 FERC issued CPCN. Rehearing was requested. On 9/17/20 FERC addressed rehearing, modified the CPCN and dismissed requests for stay. Construction and restoration activities underway.  | Q2 2021   |
| CP19-481             | DEC, DEP  | Transco request for authorization to abandon an existing 10-inch supply lateral and 8-inch supply lateral extending from Ship Shoal Block 169 to Ship Shoal Block 72 (Supply Laterals) and appurtenant metering facilities, all in Federal waters offshore Louisiana, filed 6/21/19. Duke Energy intervened 8/18/19. On 7/16/19 FERC issued Environmental Assessment report stating that the project qualified for categorical exclusion. (Closed 6/30/21) | Q2 2021   |
| CP19-494             | DEC, DEP  | Transco application, in abbreviated form, for a certificate of public convenience and necessity to construct and operate Leidy South Project, filed 7/31/19. On 8/27/19 DEC and DEP intervened. On 7/17/20 FERC issued the CPCN. Construction activity underway.   | Q2 2021   |
| CP21-57              | DEC, DEP  | Mountain Valley Pipeline (MVP) abbreviated application to amend certificate to allow MVP to change the crossing method for specific  | Q2 2021   |

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|                       |  | wetlands and waterbodies from the open-cut crossings to one of several trenchless methods, filed 2/19/21. On 3/22/21 DEC and DEP intervened. Comment period continued.  |  |
| CP21-94               | DEC, DEP   | Transco CPCN application for Regional Energy Access Expansion, filed 3/26/21. On 4/30/21 DEC and DEP intervened. Comment period underway.   | Q2 2021  |
| EL13-88;<br>ER16-1969 | Duke Energy, DEC, DEP, DEBS                          | Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. A technical conference was held 6/15/15 to explore issues raised in the complaint. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. On 6/17/16 FERC granted rehearing for further consideration. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. On 4/24/17 MISO filed compliance filing and motion for extension in ER16-1969, to allow MISO to continue working with stakeholders on cost allocation methodology. On 6/27/17 FERC granted the extension until 10/31/18. On 9/17/18 MISO and MISO TOs filed an expedited joint motion for a limited extension of the compliance deadline and a request for a shortened comment period. During fourth quarter 2018, MISO and MISO TOs requested an extension of compliance deadline, which the Generator Group subsequently opposed. On 2/28/19 MISO filed compliance filing in Docket Nos. ER16-1969 and ER19-1156. On | Q2 2021  |

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|                       |  | 6/24/19 FERC rejected the compliance filing. On 9/13/19 and 12/16/19 FERC granted extensions for revised compliance filing. On 3/20/20 FERC rejected proposed revisions and compliance filing. On 7/28/20 FERC addressed arguments on rehearing but reached the same result and accepted MISO's compliance filing. Matter was appealed to DC Circuit Court (Case No. 20-1391).   |  |
| EL14-37;<br>ER17-1433 | Duke Energy, DEC, DEP                                | Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened. On 12/16/14 FERC denied the 9/24/14 motion for clarification and expedited consideration. The technical conference was held 1/7/15. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support. On 4/18/17 PJM filed compliance filing in ER17-1433. On 10/17/19 FERC required additional briefing. Comments were filed. On 7/16/20 FERC found PJM's uplift allocation rate unjust and directed PJM to submit a replacement rate within 45 days. On 8/17/20 rehearing was requested. On 9/17/20 FERC granted rehearing for further consideration. Waiting for FERC order. | Q2 2021  |
| EL15-67               | DEC, DEP, DEBS                                       | Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months,   | Q2 2021  |

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|               |  | subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. A technical conference was held 1/12/16. On 4/22/16 FERC denied complaint. On 6/21/16 FERC granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. During third quarter 2018, FERC established settlement judge procedures. On 9/17/18 FERC granted rehearing for further consideration. On 7/19/19 Settlement Judge issued final report declaring impasse and recommending that settlement judge procedures be terminated. On 7/22/19 settlement judge procedures were terminated. On 11/21/19 FERC dismissed requests for rehearing of the order establishing settlement judge procedures. On 2/20/20 FERC denied requests for rehearing. Matter has been appealed to DC Circuit (Case No. 20-1074). On 12/7/20 FERC issued order on rehearing. |  |
| EL16-49       | Duke Energy, DEC, DEBS                               | Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed 3/21/16. On 4/5/16 Duke Energy intervened. On 6/29/18 FERC rejected PJM tariff revisions, granted in part and denied in part Calpine's complaint, and instituted Section 206 proceeding in EL18-178. On 8/29/18 FERC granted rehearing for further consideration. See EL18-178 for updates. Petitions for review have been filed with DC Circuit Court (Case No. 21-1183) and 7 <sup>th</sup> Circuit (Case No. 20-3405).   | Q2 2021  |

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|-----------------------|---|---|---|
| EL17-68               | Duke Energy, DEC, DEP                                       | Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. On 2/20/20 FERC denied complaint. On 3/23/20 Linden VFT filed a request for rehearing. On 4/20/20 FERC granted rehearing for further consideration. See also EL15-67. Petitions for review were filed with DC Circuit Court (Case No. 20-1162).   | Q2 2021   |
| EL17-84;<br>ER17-2073 | Duke Energy, DEC, DEP                                       | Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened. On 10/10/17 PJM responded to 9/8/17 show cause order. On 12/15/17 FERC issued order requiring PJM to permit conversion of firm to non-firm transmission withdrawal rights and required PJM to amend the agreement with HTP. On 2/9/18 FERC granted rehearing for further consideration of 12/15/17 order. Settlement judge procedures continued. On 1/23/20 FERC denied rehearing. Petitions for review have been filed with DC Circuit Court. See also EL15-67 above. | Q2 2021   |
| EL18-34               | Duke Energy, DEC, DEP                                       | Order and Notice instituting investigation into whether PJM's practices re pricing of fast-start resources may be unjust and unreasonable, issued 12/21/17. On 1/3/18 Duke Energy intervened. On 2/12/18 PJM filed its initial brief. Comments, answers and briefs were filed. On 4/18/19 FERC directed PJM to submit a compliance filing. On 9/27/19 PJM filed its compliance filing. Waiting for FERC order.  | Q2 2021   |

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## Open FERC Dockets – Second Quarter 2021

| Docket No(s).         | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|--|---|--|
| EL18-178              | Duke Energy, DEC, DEP                                | Paper hearing proceeding initiated by FERC when it rejected complaint in EL16-49, issued 6/29/18. Notice of Section 206 proceeding and refund effective date, issued 7/2/18. During third quarter 2018, many parties intervened and filed comments, including Duke Energy on 7/23/18. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. On 7/25/19 FERC denied PJM's supplemental motion for reconsideration and directed PJM not to run the Base Residual Auction in August 2019. On 12/19/19 FERC issued an order establishing a just and reasonable rate and directed PJM to file new tariffs in 90 days. Many parties filed petitions for rehearing or clarification. On 2/18/20 FERC granted rehearing for further consideration. On 4/16/20 FERC issued an order on rehearing and clarification. Many parties filed requests for rehearing and petitions for review with the DC and 7 <sup>th</sup> Circuits (Case No. 20-3028 et al.). On 1/19/21 FERC issued order on compliance and clarification, modified on 2/18/21 to require a compliance filing. Petitions for review were filed with 7 <sup>th</sup> Circuit. | Q21 2021   |
| EL19-47               | Duke Energy, DEC, DEP                                | Complaint of PJM IMM against PJM, alleging lack of effective market power mitigation in the capacity market, filed 2/21/19. On 4/17/19 Duke Energy intervened. On 3/18/21 FERC granted complaint and required additional briefing. On 4/18/21 expedited clarification or rehearing was requested. Waiting for FERC order.   | Q2 2021  |
| EL19-58;<br>ER19-1486 | Duke Energy, DEC, DEP                                | PJM proposed revision to its Operating Agreement to implement reserve market enhancements. On 5/13/19 Duke Energy intervened. On 5/21/20 FERC accepted PJM filings in part and required a compliance filing in 45 days. Several parties requested rehearing or filed petitions for review with DC Circuit Court (Case No. 20-1372).   | Q2 2021  |



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| <b>Docket No(s).</b>  | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>   | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|-----------------------|---|--|---|
|                       |   | On 11/3/20 FERC issued order on rehearing. On 1/4/21 FERC accepted PJM filing. On 3/9/21 FERC addressed arguments on rehearing but reached the same result. Request for rehearing was filed with DC Circuit Court.   |   |
| EL19-79               | Duke Energy, DEC, DEP                                       | Complaint of LSP Transmission Holding II, LLC, Cardinal Point Electric, LLC and LS Power Mid-continent, LLC against MISO, alleging flaws in economic planning process, filed 6/5/19. On 6/24/19 Duke Energy intervened. Comment period continued. On 7/28/20 FERC denied the complaint. Rehearing was requested. On 9/28/20 FERC granted rehearing for further consideration. On 12/3/20 FERC issued order addressing arguments on rehearing. Petitions for review were filed with DC Circuit Court (Case No. 20-1465).  | Q2 2021   |
| EL19-100;<br>ER20-584 | Duke Energy, DEC, DEP                                       | Section 206 proceeding re reasonableness of PJM's application of minimum run-time rules and procedures to Capacity Storage Resources, initiated 10/17/19 (EL19-100), and PJM's compliance filing to incorporate rules pertaining to qualifications for Capacity Resources in PJM's Reliability Assurance Agreement, filed 12/11/19 (ER20-584). On 3/11/20 Duke Energy intervened out-of-time on behalf of subsidiaries. On 4/10/20 FERC issued order establishing – and holding in abeyance until 10/30/20 – paper hearing procedures to examine rules for determination of capacity values for all resources. On 4/30/21 FERC rejected proposed tariff revisions and established briefing schedule. On 5/25/21 FERC held paper hearing in abeyance until issuance of order on PJM's revised ELCC proposal (ER21-278). Waiting for FERC order. | Q2 2021   |
| EL20-4;<br>ER21-1319  | DEP   | NCEMPA complaint against DEP regarding the ROE in the Full Requirements Power Purchase Agreement between NCEMPA and DEP, filed 10/11/19. DEP requested summary dismissal of the  | Q2 2021   |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | complaint on the basis that NCEMPA had failed to meet its burden of proof that the whole rate is unjust and unreasonable. Settlement judge procedures were established. On 3/30/21 DEP filed notice of offer of settlement in ER21-1319 that would resolve all issues set for hearing in EL20-4. On 4/19/21 uncontested settlement was certified. On 4/29/21 settlement judge procedures were terminated. Waiting for FERC order.   |  |
| EL20-10       | Duke Energy, DEC, DEP                                | Anbaric Development Partners, LLC complaint against PJM pertaining to denial of access for merchant transmission platform projects designed to connect remote generation resources to PJM transmission system, filed 11/18/19. On 11/26/19 Duke Energy intervened. On 6/18/20 FERC denied complaint. On 7/20/20 Anbaric requested rehearing. On 8/20/20 FERC granted rehearing for further consideration. Matter was appealed to DC Circuit Court (Case No. 20-1420).   | Q2 2021  |
| EL20-15       | DEP  | NCEMPA petition for declaratory order pertaining to right of NCEMPA to utilize battery storage technology under PPA with DEP, filed 12/23/19. On 12/30/19 DEP intervened. On 1/20/20 DEP filed protest. On 9/17/20 FERC granted the petition for declaratory order. On 12/17/20 FERC addressed arguments on rehearing but reached the same result. Rehearing was requested, and petitions for review filed with the DC Circuit Court (Case Nos. 20-1495 and 21-1009) where briefing is complete, and parties await date for oral arguments. | Q2 2021  |
| EL20-59       | Duke Energy, DEC, DEP                                | Duquesne Power Co. complaint asking FERC to order PJM not to file stakeholder proposals that violate the PJM Transmission Owners Agreement, filed 7/30/20. On 8/11/20 Duke Energy intervened. Waiting for FERC order.   | Q2 2021  |

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| <b>Docket No(s).</b>    | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>  | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|-------------------------|---|---|---|
| EL21-9                  | DEP   | NCEMC complaint about DEP ROE for power supply and coordination agreement, filed 10/16/20. Waiting for FERC order.  | Q2 2021   |
| EL21-14                 | Duke Energy, DEC, DEP                                       | NextEra Energy et al. expedited petition for declaratory order to resolve issues arising from Orders 860 and 860-A. On 11/16/20 Duke Energy intervened, and on 11/30/20 Duke Energy filed comments. On 3/18/21 FERC denied petition for declaratory order but provided guidance that addresses concerns raised. On 4/19/21 EEI and NextERA requested rehearing and clarification. On 6/17/21 FERC addressed arguments raised on rehearing but reached the same conclusion. (Closed 6/30/21) | Q2 2021   |
| EL21-18                 | Duke Energy   | Complaint of Kansas Electric Co-op. against Evergy Kansas Central related to the 2020 annual update which set cost-of-service rates for the period 6/20 through 5/21, filed 11/17/20. On 4/15/21 FERC granted complaint in part and established settlement judge procedures. On 5/17/21 Duke, AEP and Dominion filed a limited request for clarification and rehearing, along with a motion to intervene out of time. Waiting for FERC order.   | Q2 2021   |
| EL21-39                 | Duke Energy, DEC, DEP                                       | Complaint of Long Island Power Authority and Neptune Regional Transmission System about PJM RTEP cost allocation assignments, filed 12/31/20. On 1/15/21 Duke Energy intervened. On 6/25/21 FERC established paper hearing procedures. Waiting for FERC order.  | Q2 2021   |
| ER10-2566;<br>ER13-2322 | DEP, DEC  | On 1/27/20 DEP and DEC filed notice of change in status to reflect DEP's Asheville units coming online, subsequently amended 5/21/20. On 6/29/20 Duke MBR Sellers filed their Triennial Updated Market Power Analysis. On 12/18/20 FERC accepted 2020 filings. On 12/18/20 and 2/11/21 Duke filed additional nonmaterial notices of change in status. On 4/22/21 Duke Energy filed a supplement to its  | Q2 2021   |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
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|               |  | triennial market power analysis. On 5/26/21 FERC accepted the filing. (Closed 6/30/21)  |  |
| ER13-1924     | Duke Energy, DEC, DEP                                | PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on 9/5/13. On 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further compliance filing within 60 days. On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals (Case No. 16-1449). On 6/22/18 DC Circuit affirmed FERC decision on MISO cost allocation. (Closed 6/30/21) | Q2 2021  |
| ER14-972      | Duke Energy, DEC, DEP, DEBS                          | PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this   | Q2 2021  |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
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|               |  | docket but not for the related docket, ER14-1485. On 6/18/15 FERC denied the complaint, denied rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and on 6/26/15 Linden VTF did likewise. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. DC Circuit Court proceeding is in abeyance. On 2/20/20 FERC denied rehearing requests. NYPA filed petition for review in DC Circuit Court (Case No. 20-1074). Linden filed a motion with the DC Circuit Court to consolidate the related appeals. [See also ER15-2562.] |  |
| ER15-2562     | DEC, DEP, DEBS                                       | PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen  | Q2 2021  |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
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|               |  | the record and to lodge a PJM report. Settlement judge procedures continued. [See EL15-67 for update.]  |  |
| ER17-950      | Duke Energy, DEC, DEP                                | PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and Necessary Lower Voltage Facility and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. Duke Energy intervened on 4/6/17. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. See EL15-67 above. Settlement judge procedures continued. On 2/20/20 FERC accepted tariff revisions and denied rehearing. Linden VFT filed request for rehearing, and NYPA filed petition for review with the DC Circuit Court (Case No. 15-1183, 1188 and 16-1153). On 8/28/20 FERC addressed arguments on rehearing but reached the same result. Petitions for review were filed with the DC Circuit Court (Case Nos. 20-1074, 1077, 1269, 1351 and 1382). | Q2 2021  |
| ER18-1314     | Duke Energy, DEC, DEP                                | PJM amendment to Reliability Pricing Model (RPM) rules in its OATT to propose 2 alternatives to address the price suppressing effects of state out-of-market support for certain resources, filed 4/9/18. On 4/30/18 Duke Energy intervened. On 6/29/18 FERC rejected the proposed tariff revisions, granted in part and denied in part Calpine's complaint in Docket EL16-49 on PJM's Minimum Offer Price Rule, established a refund effective date, and invited comment in a new Section 206 proceeding, EL18-178. On 7/10/18   | Q2 2021  |

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|               |  | Calpine expressed concern about remedy FERC proposed. On 8/29/18 FERC granted rehearing for further consideration. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. On 3/13/20 and 3/25/20 PJM filed compliance filing. On 4/16/20 FERC issued an order on rehearing and clarification. On 6/1/20 PJM submitted second compliance filing. Many parties filed requests for rehearing and petitions for review with the DC and 7 <sup>th</sup> Circuits. On 6/15/20 FERC granted rehearing for further consideration. On 3/10/21 FERC accepted PJM filing, corrected by errata filed 6/4/21. [See EL18-178 for update.] (Closed 6/30/21)  |  |
| ER19-1507     | DEC, DEP   | Amendment to Joint OATT provisions re Large Generator Interconnection Procedures, in compliance with Order Nos. 845 and 845-A, filed by DEC and DEP 4/2/19, 4/24/19 and 5/20/19. On 6/13/19 FERC found the filings deficient. On 7/12/19 DEC and DEP filed compliance filing, corrected 7/24/19. On 12/19/19 FERC accepted the compliance filing, subject to a further compliance filing within 60 days. On 2/14/20 DEC and DEP filed a further compliance filing. On 5/21/20 FERC partially accepted the compliance filing and required a further compliance filing in 120 days. On 8/3/20 DEC and DEP filed a further compliance filing. On 12/18/20 FERC accepted filing. On each of 11/13/20, 2/12/21 and 5/12/21 DEC and DEP filed an informational report on interconnection study delays. | Q2 2021  |
| ER19-2105     | DEC, DEP   | PJM Transmission Owners' revisions to PJM OATT Schedule 7 and 8 to update the PJM Border rate, filed 6/11/19. Duke Energy intervened on 6/28/19. On 11/5/19 FERC accepted and suspended tariff revisions and established settlement judge procedures. Rehearing was requested. On 8/21/20 FERC addressed arguments on rehearing but reached the same result. Settlement judge procedures   | Q2 2021  |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
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|               |  | continued. On 2/16/21 FERC terminated settlement judge procedures and assigned a judge to conduct a hearing. Waiting for FERC order.   |  |
| ER19-2915     | Duke Energy, DEC, DEP                                | PJM proposed stakeholder revisions to its Operating Agreement, committing PJM to review any cost commitment voluntarily presented as part of an RTEP project proposal, filed 9/30/19. On 10/8/19 Duke Energy intervened. On 12/23/19 FERC found the PJM proposal deficient and requested additional information. On 1/22/20 PJM responded to deficiency letter. On 3/20/20 FERC accepted filing. On 4/20/20 2 parties requested rehearing. On 10/26/20 FERC issued order on rehearing. Matter was appealed to DC Circuit Court (Case No. 20-1454). | Q2 2021  |
| ER20-841      | Duke Energy, DEC, DEP                                | PJM Transmission Owners revision to OATT to add Attachment M-4, planning procedures to mitigate risk associated with critical transmission facilities, filed 1/12/20. On 1/28/20 Duke Energy intervened on behalf of subsidiaries. On 3/17/20 FERC accepted tariff revision. On 4/16/20 parties requested rehearing. Petitions for review were filed with DC Circuit Court (Case No. 20-1249). On 11/19/20 FERC issued order on rehearing, affirming findings in 3/17/20 order. Petition for review was filed with DC Circuit (Case No. 21-1011).  | Q2 2021  |
| ER20-862      | Duke Energy, DEC, DEP, DEBS                          | MISO revisions to its OATT to provide cost allocation methodology for MISO's share of Interregional Economic Projects, filed 1/28/20. On 1/2/20 Duke Energy intervened on behalf of subsidiaries. On 3/20/20 FERC rejected the filing. Parties requested rehearing. On 5/19/20 FERC granted rehearing for further consideration. On 7/28/20 FERC addressed arguments on rehearing but reached the same result. Petitions for review were filed with DC Circuit Court (Case No. 20-1465).   | Q2 2021  |



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|-------------------------|---|--|---|
| ER20-1723;<br>ER20-1724 | Duke Energy,<br>DEC, DEP,<br>DEBS                           | MISO and MISO Transmission Owners (TOs) revision of MISO OATT and ISO Agreement (in 2 filings due to tariff structure) to expand and modify process for identifying Market Efficiency Projects, filed 4/30/20. On 6/1/20 Duke Energy intervened. MISO requests that both dockets be treated as one request. On 7/28/20 FERC accepted MISO filing. Requests for rehearing were filed. On 12/3/20 FERC issued order on rehearing, affirming 7/28/20 order. Matter was appealed to DC Circuit Court (Case No. 20-1466). | Q2 2021   |
| ER20-1837               | DEC, DEP  | Revision of OATT formula rate templates in compliance with FERC Order Nos. 864 and 864-A, filed 5/15/20. On 8/24/20, DEC and DEP filed an amendment to their Order No. 864 compliance filing. On 9/14/20, DEC and DEP submitted a second amendment to their Order No. 864 compliance filing. Waiting for FERC order.   | Q2 2021   |
| ER20-2046               | Duke Energy,<br>DEC, DEP                                    | PJM TOs revision of PJM OATT to expand Attachment M3 to include projects to replace transmission facilities nearing the end of their useful lives, filed 6/12/20. On 6/19/20 Duke Energy intervened. On 8/11/20 FERC accepted PJM filing. Rehearing was requested 9/10/20, and FERC denied it 10/13/20. Petitions for review were filed with the DC Circuit Court (Case Nos. 20-1449 and 21-1006). On 12/17/20 FERC addressed arguments on rehearing. (Closed 6/30/21)   | Q2 2021   |
| ER20-2308               | Duke Energy,<br>DEC, DEP                                    | PJM revision of Operating Agreement Schedule 6 re planning for “end of life” for all transmission projects, filed 7/2/20. On 7/13/20 Duke Energy intervened. On 12/17/20 FERC rejected tariff revision. On 1/19/21 request for rehearing was filed. On 2/19/21 FERC granted rehearing for further consideration. On 3/23/21 a petition for review was filed with DC Circuit Court.   | Q2 2021   |
| ER21-278                | Duke Energy,<br>DEC, DEP                                    | PJM revisions to Reliability Assurance Agreement to create and implement an Effective Load Carrying Capability (ELCC) construct  | Q2 2021   |

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|----------------------|---|---|---|
|                      |   | or provide a Fixed Resource Required Capacity Plan, filed 10/30/20. On 11/20/20 Duke Energy intervened. On 4/30/21 FERC rejected tariff revisions and established a briefing schedule in Dockets EL19-100 and ER20-584. Waiting for FERC order.   |   |
| ER21-304             | DEC   | Cherokee County Cogeneration Partners tariff for Reactive Supply and Voltage Control from Generation Sources Service, filed 11/2/20. On 11/23/20 DEC intervened. On 12/31/20 FERC notified Cherokee that filing is deficient. On 2/1/21 Cherokee responded. On 4/2/21 FERC dismissed Cherokee filing. On 5/3/21 Cherokee requested rehearing. Waiting for FERC order.   | Q2 2021   |
| ER21-726             | Duke Energy, DEC, DEP                                       | PJM revisions to OATT Schedule 12 appendices re 2021 RTEP annual cost allocation, filed 12/23/20. On 1/15/21 Duke Energy intervened. On 5/21/21 FERC accepted the PJM filing. On 6/21/21 Long Island Power Authority and Neptune requested rehearing. Waiting for FERC order.   | Q2 2021   |
| ER21-1071            | DEC   | Revisions to power purchase agreements with Forest City, Highlands and WCU, filed 2/8/21. On 4/8/21 FERC accepted DEC filing. (Closed 6/30/21)  | Q2 2021   |
| ER21-1111            | DEC, DEP  | Southern Company Services' Southeast Energy Exchange Market (SEEM) Agreement filed 2/12/21 on behalf of Alabama Power Co. and other Members of SEEM, providing the framework and rules for establishing and maintaining a voluntary electronic trading platform designed to enhance the existing bilateral market in the Southeast utilizing zero-charge transmission service. On 3/11/21 DEC and DEP intervened. On 5/4/21 FERC found filing deficient, and Alabama Power responded. Waiting for FERC order. | Q2 2021   |
| ER21-1112            | DEC, DEP  | Dominion Energy SC concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed  | Q2 2021   |

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|----------------------|---|--|---|
|                      |   | 2/12/21. On 3/11/21 DEC and DEP intervened. [See ER21-1111 for status.]  |   |
| ER21-1114            | DEC, DEP  | Louisville Gas & Electric (LG&E) concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. [See ER21-1111 for status.] | Q2 2021   |
| ER21-1115            | DEC, DEP  | Revisions to the Joint OATT to facilitate participation in SEEM platform, filed by DEC and DEP 2/12/21. Comment period continued.  | Q2 2021   |
| ER21-1116            | DEC   | Concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed by DEC 2/12/21. Comment period continued. [See ER21-1111 for status.]  | Q2 2021   |
| ER21-1117            | DEP   | Concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed by DEP 2/12/21. Comment period continued. [See ER21-1111 for status.]  | Q2 2021   |
| ER21-1118            | DEC, DEP  | LG&E / Kentucky Utilities concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. [See ER21-1111 for status.]        | Q2 2021   |
| ER21-1119            | DEC, DEP  | Georgia Power Co. concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. [See ER21-1111 for status.]                | Q2 2021   |
| ER21-1120            | DEC, DEP  | Kentucky Utilities concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. [See ER21-1111 for status.]               | Q2 2021   |
| ER21-1121            | DEC, DEP  | Mississippi Power Co. concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed  | Q2 2021   |

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|----------------------|---|---|---|
|                      |   | 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. [See ER21-1111 for status.]   |   |
| ER21-1125            | DEC, DEP  | Alabama Power Co. OATT provision to facilitate Southern Companies' participation in the SEEM platform, filed 2/12/21. On 3/11/21 DEC and DEP intervened. [See ER21-1111 for status.]                                      | Q2 2021   |
| ER21-1128            | DEC, DEP  | Dominion Energy SC OATT provision to facilitate participation in SEEM platform, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. [See ER21-1111 for status.]                                   | Q2 2021   |
| ER21-1484            | DEC, DEP  | Revisions to Joint OATT formula rates re recovery of 2019 DEP storm costs, filed 3/19/21. On 5/11/21 FERC accepted filing. (Closed 6/30/21)   | Q2 2021   |
| ER21-1486            | DEC   | Revisions to power purchase agreement with NCEMC, filed 3/19/21. On 5/17/21 FERC accepted filing. (Closed 6/30/21)  | Q2 2021   |
| ER21-1579            | DEP   | Revisions to OATT Attachment J (Large Generator Interconnection Procedures), filed 4/1/21. On 5/26/21 FERC issued deficiency letter. On 6/8/21 DEP responded to deficiency letter. Waiting for FERC order.                | Q2 2021   |
| ER21-1681            | DEC   | Metering Service Agreement with NCEMC, filed by DEC 4/14/21. On 6/8/21 FERC accepted filing. (Closed 6/30/21)   | Q2 2021   |
| ER21-1719            | DEP   | Notice of termination of facility interconnection and operation agreement with Capital Power Investments (formerly Cogentrix or EPCOR-Southport), filed by DEP 4/20/21. On 6/17/21 FERC accepted filing. (Closed 6/30/21) | Q2 2021   |
| ER21-1882            | DEC   | Dynamic Power Agreement with Southern Power, filed by DEC on 5/11/21. Waiting for FERC order.   | Q2 2021   |
| ER21-1939            | DEP   | Revised depreciation rates in wholesale power agreements with NCEMC, Piedmont EMC, Haywood EMC, and French Broad EMC,   | Q2 2021   |

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| <b>Docket No(s).</b> | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>  | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|----------------------|---|---|---|
|                      |   | filed by DEP 5/18/21. On 6/25/21 FERC accepted filing. (Closed 6/30/21)   |   |
| ER21-1940            | DEC   | Revised depreciation rates in wholesale power agreements with NCEMC, Blue Ridge EMC, Piedmont EMC, Rutherford EMC, Dallas, Due West, Forest City, Lockhart Power, Haywood EMC, Central EPC, Highlands, and WCU, filed by DEC 5/18/21. On 6/25/21 FERC accepted filing. (Closed 6/30/21) | Q2 2021   |
| ER21-1955            | DEP   | Affected System Operating Agreement (ASOA) with American Beech Solar, filed by DEP 5/20/21. Waiting for FERC order.   | Q2 2021   |
| ER21-1960            | DEP   | Certificate of Concurrence with revisions to Interconnection Agreement with Dominion (Docket ER21-1949), filed by DEP 5/20/21. Waiting for FERC order.  | Q2 2021   |
| ER21-2018            | DEC   | Notice of cancellation of OATT service agreements with Oglethorpe Power Corp. and Tenaska Power Services (firm and non-firm point-to-point transmission service agreements), filed 5/27/21. Waiting for FERC order.   | Q2 2021   |
| ER21-2223            | DEC   | NITSA with NCEMC, filed by DEC 6/28/21. Waiting for FERC order.   | Q2 2021   |
| ER21-2229            | DEC   | Notice of cancellation of OATT service agreements with FP&L (firm and non-firm point-to-point transmission service agreements), filed 6/28/21. Waiting for FERC order.  | Q2 2021   |
| ER21-2291            | DEC   | NITSA with City of Concord, filed by DEC 6/30/21. Waiting for FERC order.   | Q2 2021   |
| ER21-2295            | DEC   | NITSA with NCMPPA #1, filed 6/30/21. Waiting for FERC order.  | Q2 2021   |
| P-432                | DEP   | Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions,      | Q2 2021   |

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | recreation planning and development, spillway gate operations and wildlife protection plans.   |  |
| P-2206        | DEP  | Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 10/29/18 FERC acknowledged notification of the inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during Hurricane Florence. License Amendment: On 11/20/18 DEP filed a Non-Capacity License Amendment Application for several changes to the license, one of which is the installation of an inflatable gate on the Blewett Falls Spillway that will replace the existing wooden flash board system. Other changes include minor edits to Exhibit A, a revised Authorized Installed Capacity (AIC) due to the calculation using 18 CFR 11(i), and revisions to the upstream American eel and downstream American shad passage requirements of the New License. On 5/8/19 FERC issued an Order approving the License Amendment, with the exception of the AIC. On 7/5/19 DEP filed the revised Exhibit F's required by the approved license amendment. On 10/3/19 DEP filed the revised Exhibit A to include the Authorized Installed Capacity calculation with the Tillery house unit. On 5/12/20, DEP filed a license variance request to allow operations of Blewett Falls Lake down to 9 feet below full pond. Final AIC filed with FERC on 8/31/20. On 3/2/21, FERC issued the order approving the revised Exhibit A and approving Project description and annual charges. | Q2 2021  |

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| P-2232        | DEC  | <p>Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 5/14/20 DEC filed an Application for Non-Capacity Amendment of License for the Project, wherein DEC proposed to replace the turbine runners for Units 1 and 2 at the Mountain Island Development. On 9/28/20 FERC issued order amending the license consistent with the change in capacity and revising annual charges. On 6/26/20 DEC filed a request for certification for a renewable energy production tax credit for efficiency improvement from the upgrades to the Unit 4 generator at the Cowans Ford development, completed in 2019. On 8/3/20 DEC filed an application for certification of incremental hydropower generation for the Wateree Development. On 8/18/20 DEC filed an application for certification of incremental hydropower generation for Unit 1 generator improvements at the Cedar Creek Development. On 11/24/20 FERC issued an order approving the Wateree Development Application. On 3/11/21 FERC issued an Order certifying the tax credits for Cedar Creek. On 3/24/2021 DEC filed an Application for Certification of Incremental Hydropower Generation for Unit 1 and Unit 2 improvements at the Mountain Island Development. Remaining FERC responses are pending. On 1/13/21 DEC filed a license variance request associated with maintenance activities at Rhodhiss Hydro Station. FERC approved the variance request on 3/15/2021.</p> | Q2 2021  |

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| <b>Docket No(s).</b> | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>  | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|----------------------|---|---|---|
| P-2331               | DEC   | Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 2021   |
| P-2380               | DEP   | Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.            | Q2 2021   |
| P-2503               | DEC   | Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.      | Q2 2021   |
| P-2686               | DEC   | West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.           | Q2 2021   |
| P-2692               | DEC   | Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions,  | Q2 2021   |



## Attachment A

## Open FERC Dockets – Second Quarter 2021

| <b>Docket No(s).</b> | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>   | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|----------------------|---|--|---|
|                      |   | recreation planning and development, spillway gate operations and wildlife protection plans.   |   |
| P-2694               | DEC   | Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q2 2021   |
| P-2698               | DEC   | East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.    | Q2 2021   |
| P-2740               | DEC   | Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.    | Q2 2021   |
| RP19-987             | DEC, DEP  | East Tennessee Natural Gas, LLC 2017-2018 cash-out Report, filed 3/28/19. On 4/9/19 DEC and DEP intervened. Waiting for FERC order.  | Q2 2021   |
| RP19-1523            | DEC, DEP  | Panhandle Eastern Pipe Line Company, LP general rate increase, filed 8/30/19. On 9/10/19 DEC and DEP intervened. On 9/30/19 FERC suspended rates, rejected tariff revisions, established hearing procedures and technical conference. Hearing was scheduled for 8/25/20, with initial decision 1/26/21. Settlement procedures were   | Q2 2021   |

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | initiated. On 6/18/20 FERC granted requests for clarification but not rehearing. Hearing began 8/30/20 and concluded 9/14/20. Waiting for FERC order. Petition for review was filed with DC Circuit Court. On 3/26/21 FERC issued initial decision. Exceptions were filed. Waiting for FERC order.  |  |
| RP19-1556     | DEC, DEP   | Transco annual cash-out report for period ending 7/31/19. On 9/19/19 DEC and DEP intervened. Waiting for FERC order.  | Q2 2021  |
| RP20-614      | DEC, DEP   | Transco gas tariff revisions to revise monthly cash-out pricing calculations, filed 2/28/20. On 3/11/20 DEC and DEP intervened. On 3/30/20 FERC accepted for filing and then suspended the tariff records for 5 months, subject to refund and the outcome of hearing procedures established. Settlement judge procedures concluded. On 7/23/20 FERC denied clarification. On 8/24/20 parties requested rehearing. On 9/1/20 Transco filed revised tariff. On 9/24/20 FERC denied rehearing. On 9/30/20 FERC accepted 9/1/20 tariff. Settlement judge procedures established. On 2/25/21 FERC held procedural schedule in abeyance because parties have reached an agreement in principle. On 6/29/21 uncontested offer of settlement was certified. Waiting for FERC order. | Q2 2021  |
| RP20-618      | DEC, DEP   | Transco gas tariff revisions to resolve cash-out over- and under-recoveries, filed 2/28/20. On 3/11/20 DEC and DEP intervened. On 3/30/20 FERC accepted for filing and then suspended the tariff records for 5 months, subject to refund and the outcome of hearing procedures established. Settlement judge procedures continued. On 2/25/21 FERC held procedural schedule in abeyance because parties have reached an agreement in principle. On 6/29/21 uncontested offer of settlement was certified. Waiting for FERC order.   | Q2 2021  |

## Attachment A

## Open FERC Dockets – Second Quarter 2021

| <b>Docket No(s).</b> | <b>Affiliate with Party Status (Duke Energy, DEC, DEBS)</b> | <b>Description of Proceeding</b>   | <b>Quarter Reported Pursuant to Reg. Condition 3.10</b> |
|----------------------|---|--|---|
| RP20-726             | DEC, DEP  | East Tennessee November 2018 - October 2019 cashout report, filed 3/30/20. On 5/1/20 DEC and DEP intervened. Waiting for FERC order. | Q2 2021   |
| RP20-1196            | DEC, DEP  | Transco annual cash-out report for period ending 7/31/20. On 9/28/20 DEC and DEP intervened. Waiting for FERC order.                 | Q2 2021   |

## Attachment B

## Periodic Reports Filed with FERC – Second Quarter 2021

| <b>Docket No(s).</b>    | <b>Filing Entity (Duke Energy, DEC, DEBS)</b> | <b>Description of Report</b>   |
|-------------------------|---|--|
| N/A                     | DEC, DEP                                      | Form 1 – Annual Report for Major Electric Utilities, Licensees and Others  |
| N/A                     | DEC, DEP                                      | Form 3Q – Quarterly Financial Report   |
| N/A                     | Duke Energy                                   | FERC-61 – Narrative Description of Service Company Functions   |
| NA                      | DEC, DEP                                      | Form No. 582 – Annual Charges Report   |
| N/A                     | DEC, DEP                                      | Form 714 – Annual Electric Balancing Authority Area and Planning Area Report [DEP revised its report, which had been filed in Q1 2021] |
| ER09-1165;<br>ER11-3585 | DEC, DEP                                      | OATT Formula Rate Annual Update  |
| OA07-53;<br>OA08-100    | DEC, DEP                                      | Annual Operational Penalty Assessments and Distributions   |
| ZZ19-1                  | DEC, DEP                                      | Independent Auditor's Report   |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).               | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------------|--|--|--|
| <del>DOE HQ-2020-0028</del> | <del>Duke Energy</del>                               | <del>DOE request for information regarding Executive Order 13920, “Securing the United States Bulk Power System,” issued 5/4/20. On 8/24/20 Duke Energy filed comments on behalf of its NERC-registered entities.</del>  | <del>Q1 2021</del>                               |
| <u>DOE 86 FR 21309</u>      | <u>Duke Energy</u>                                   | <u>DOE request for information regarding securing the continued security of the U.S. critical electric infrastructure, issued 4/22/21. On 6/7/21 Duke Energy filed comments.</u>   | <u>Q2 2021</u>                                   |
| RM16-17                     | Duke Energy  | Notice of Proposed Rulemaking (NOPR) to collect data for analytics and surveillance purposes from MBR sellers and entities trading virtual products or holding financial transmission rights, issued 7/21/16. On 9/16/16 Duke Energy submitted comments to supplement those of EEI, focusing on the path to create an implementable Data Dictionary and recommending a supplemental NOPR to address software development time and costs. During third quarter 2016, FERC held a technical workshop 8/11/16 on the draft Data Dictionary. On 11/2/16 FERC scheduled a second technical workshop to be held 12/7/16. On 7/19/19 FERC issued Final Rule, Order No. 860. Following requests for clarification, FERC granted rehearing for further consideration on 9/16/19. On 2/20/20 FERC issued order on rehearing and clarification. On 5/20/20 FERC extended deadline for MBR tariff filings to 8/1/21. On 3/18/21 FERC delayed compliance with Order 860 until 7/1/21. | Q <del>2</del> 2021                              |
| RM19-15                     | Duke Energy, DEC, DEP                                | NOPR to revise PURPA 201 and PURPA 210 regulations in light of changes in the energy industry since 1978, issued 9/19/19. Duke Energy filed comments on 12/3/19. On 7/16/20 FERC issued Final Rule, Order 872. Petitions for reconsideration were filed and a petition for review to the 9th Circuit Court (Case Nos. 20-72888 and 20-73375). On 11/19/20 FERC issued Final Rule, Order 872-A, with  | Q <del>2</del> 2021                              |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).  | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|----------------|--|--|--|
|                |  | errata on 12/30/20. Petition for review was filed with DC and 9 <sup>th</sup> Circuit Courts of Appeal in first quarter 2021 (Case No. 20-70113).  |  |
| RM20-10        | Duke Energy, DEC, DEP                                | NOPR to revise Electric Transmission Incentives Policy, issued 3/20/20. On 7/1/20 Duke Energy intervened. <u>On 6/25/21 Duke Energy filed comments.</u> Waiting for FERC order.  | <del>Q2</del> + 2021                             |
| RM20-16        | Duke Energy, DEC, DEP                                | NOPR to revise pro forma OATT and related FERC regulations to improve the accuracy and transparency of transmission line ratings, issued 11/19/20. On 3/22/20 Duke Energy filed comments. Waiting for FERC order.  | <del>Q2</del> + 2021                             |
| RM20-20        | Duke Energy, DEC, DEP                                | Bloom Energy Corp. petition for rulemaking, asking FERC to clarify the definition of “useful thermal energy output” of a topping-cycle cogeneration facility in PURPA regulations, filed 8/19/20. On 9/8/20 Duke Energy intervened. On 12/17/20 FERC issued Final Rule, Order 874. Rehearing was requested 1/19/21. On 2/19/21 FERC granted rehearing for further consideration. | <del>Q2</del> + 2021                             |
| RM21-9         | Duke Energy, DEC, DEP                                | Notice of Inquiry on Financial Assurance measures for hydro projects, issued 1/26/21. On 3/30/21 Duke Energy filed comments. Waiting for FERC order.   | <del>Q2</del> + 2021                             |
| <u>RM21-15</u> | <u>DEBS, DEC, DEP</u>                                | <u>Petition for rulemaking to amend Uniform System of Accounts to move industry association dues from Account 930.2 to 426, filed by Center for Biological Diversity 3/17/21. On 4/26/21 DEBS filed comments on behalf of utility affiliates. Waiting for FERC order.</u>  | <u>Q2 2021</u>                                   |
| AD20-17        | Duke Energy  | Technical conference on impacts of COVID-19 on energy industry. Comments and prepared statements were filed. Technical conference was held 7/8/20 and 7/9/20, followed by another comment period. Waiting for FERC order.  | <del>Q2</del> + 2021                             |
| CP13-551       | DEC, DEP   | Transcontinental Gas Pipe Line Co. (Transco) application for a certificate of public convenience and necessity to construct and  | <del>Q2</del> + 2021                             |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | operate the Leidy Southeast Project, to be in service by 12/1/15, filed 9/28/13. DEC and DEP intervened on 10/23/13. On 6/12/14 FERC issued its schedule for environmental review of the project. On 12/18/14 FERC issued certificate and approved abandonment of facilities. On 12/19/14 filed its acceptance of certificate. On 4/28/15 and 5/19/15 FERC granted Transco's requests to proceed with construction of certain facilities for the project. On 7/13/15 FERC granted Transco's request to use areas as additional temporary workspace. On 12/30/15 and 12/31/15 FERC authorized commencement of service for facilities but required Transco to continue filing status reports until restoration activities are complete. On 3/3/16 FERC denied requests for rehearing of 12/18/14 order granting CPCN. During second and third quarters 2016, Transco filed various reports. On 11/28/16 FERC granted the request to place the Skillman Loop in service. Construction and restoration activities continued. <u>On 4/10/20 Transco's restoration report indicated that restoration was complete for all but 3 of 89 wetlands. (Closed 6/30/21)</u> |  |
| CP15-16       | DEC, DEP   | Transco application for a CPCN for Hillabee Expansion Project, filed 11/18/14, and supplemented on 12/22/14 and 12/23/14. On 12/22/14 DEC and DEP intervened. On 5/13/15 FERC issued schedule for environmental review of the Southeast Market Pipelines Project, of which Transco's application is part. On 2/2/16 FERC issued CPCN. On 3/2/16 Transco filed its acceptance of CPCN, and several parties filed requests for rehearing of 2/2/16 order. On 9/7/16 FERC denied requests for clarification of its 2/2/16 order with respect to Transco accounting for fuel costs via fuel tracker filings. On 5/18/17 Sierra Club asked FERC to deny requests until DC Circuit Court rules on  | Q <del>2</del> + 2021                            |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | pending matter. <del>On 9/27/17 FERC issued Draft Supplemental EIS.</del> On 2/2/18 Transco filed an emergency request for issuance of the Final Supplemental EIS concurrently with an order on remand reissuing the certificates for Sabal Trail, Florida Southeast Connection and the Hillabee expansion projects (the Southeast Market Pipeline or SMP), to allow continued gas transportation service following the DC Circuit Court's 8/22/17 decision and 1/31/18 order denying rehearing. On 2/5/18 FERC issued the Final Supplemental EIS. On 3/14/18 FERC issued its order on remand, reinstating certificates and abandonment authority for the SMP project. On 8/10/18 FERC denied requests for rehearing and dismissed request for stay as moot. Construction activities continued. <u>On 6/15/21 FERC granted extension to 5/1/23 to complete construction.</u>              |  |
| CP15-29       | DEC, DEP   | Transco's application for a CPCN for Gulf Trace Expansion Project, filed 12/15/14. On 1/12/15 DEC and DEP intervened. On 10/21/15 FERC issued the CPCN, with conditions including completion of construction and placement into service within 2 years. On 11/3/15 Transco accepted the CPCN. On 11/20/15 Transco requested clarification or rehearing on air emissions modeling requirements. On 6/16/16 FERC dismissed Transco's rehearing request as moot. During fourth quarter 2016, FERC issued Environmental and Construction Inspection Reports and granted the request to place the Duralde Meter Station facilities into service. On 9/10/18 FERC issued inspection report finding Transco in compliance with the environmental conditions of the 10/21/15 Order Issuing Certificate for the Project and recommending no further inspections. Restoration activities continued. | Q <del>2</del> + 2021                            |



## Attachment A

Open FERC Dockets – ~~Second~~**First** Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| CP15-117      | DEC, DEP   | <del>Transco's application for an abbreviated CPCN for the Dalton Expansion Project, filed 4/1/15. On 4/22/15 DEC and DEP intervened. On 8/3/16 FERC issued a CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 3/29/17 FERC authorized Transco to begin partial path interim service. On 7/26/17 FERC granted the request to commence service on the remaining facilities. On 8/4/17 Transco notified FERC that the facilities had been placed in service. On 11/21/17 FERC denied requests for rehearing. On 12/14/17 the US Dept. of the Interior filed comments. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1020). On 4/3/19 the DC Circuit dismissed the petition for review. Post-construction activities concluded. (Closed 3/31/21)</del> | <del>Q1</del> 2021                               |
| CP15-118      | DEC, DEP   | <del>Transco's application for CPCN for Virginia Southside Expansion Project II, filed 3/23/15. On 4/22/15 DEC and DEP intervened. On 7/7/18 FERC issued the CPCN, subject to certain conditions. On 8/8/16 NCUC and NYPSC filed a joint request for rehearing. On 9/13/17 FERC issued an environmental inspection report. On 11/21/17 FERC denied requests for rehearing. On 11/27/17 FERC granted authorization to commence service. On 12/5/17 Transco filed notice that service commenced 12/1/17. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 4/3/19 the DC Circuit dismissed the petition for review. Post-construction activities concluded. (Closed 3/31/21)</del>   | <del>Q1</del> 2021                               |
| CP15-138      | DEC, DEP   | Transco's application for an abbreviated CPCN for Atlantic Sunrise Project, filed 3/30/15. On 4/29/15 DEC and DEP intervened. On  | <del>Q2</del> <b>Q1</b> 2021                     |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | 2/3/17 FERC issued CPCN with conditions, and on 2/6/17 Transco accepted the CPCN. On 3/29/17 FERC granted Transco's request to proceed with constructing meter and regulator facilities in NC. On 5/11/17 Hilltop Hollow LP filed a petition for review of FERC's 2/3/17 and 3/13/17 orders with the DC Circuit Court. On 8/31/17 FERC denied Allegheny's 2/10/17 request for stay, which led to several requests for rehearing. On 9/26/17 Transco filed its answer to those filings. On 1/19/18 NCUC filed petition for review with the DC Cir. Court. On 3/1/18 FERC denied Sierra Club's requests for rehearing. On 3/12/18 FERC certified the record from this docket in the pending case before the DC Circuit: NCUC v. FERC (Case No. 18-1018). On 5/15/18 FERC granted request to begin partial path service for certain facilities, which Transco placed in service 6/1/18. On 10/4/18 FERC issued authorization to place facilities in service. On 10/7/18 Transco notified FERC that facilities had been placed in service. On 11/14/18 Transco filed a motion to amend the Certificate. On 4/3/19 the DC Circuit dismissed the petition for review. Post-construction activities continued. |  |
| CP15-499      | DEC, DEP   | Texas Eastern Transmission, LP's application for an abbreviated CPCN for its proposed South Texas Expansion Project, filed 5/21/15. On 6/23/15 DEC and DEP intervened. On 2/15/18 FERC issued certificate with conditions. On 3/27/18 Texas Eastern filed its notice of commencement of construction. During third quarter 2018, FERC issued an inspection report finding Texas Eastern in compliance with the 2/15/18 Order Issuing Certificate On 11/28/18 FERC authorized Texas Eastern to commence service. On 12/11/18 Texas Eastern notified FERC that certain facilities had been placed in service. <del>Post-construction and restoration activities continued.</del> On 6/22/21 Texas   | Q <del>2</del> + 2021                            |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).                      | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|------------------------------------|--|---|--|
|                                    |  | <u>Eastern filed final status report on restoration activities. (Closed 6/30/21)</u>  |  |
| CP15-554;<br>CP15-555;<br>CP15-556 | DEC, DEP   | Atlantic Coast Pipeline (ACP) application, filed <u>9/18/15</u> by Dominion Transmission, ACP and Piedmont Natural Gas Co. <del>On 2/17/17 DEC and DEP filed supplemental comments in support of the pipeline.</del> On 7/21/17 FERC issued the final EIS. On 10/13/17 FERC granted certificate with conditions. On 12/11/17 FERC granted rehearing for further consideration. On 3/14/18 Appalachian Voices filed petition for writ with 4 <sup>th</sup> Circuit Court to stay FERC's 10/13/17 order authorizing construction. On 3/26/18 FERC granted rehearing for further consideration (of order allowing limited tree felling), following protests. On 3/28/18 FERC denied request to modify time-of-year tree felling restrictions. On 5/11/18 Defenders of Wildlife et al. filed a motion for stay and request for rehearing. <del>During second quarter 2018, ACP filed supplemental information, various reports, requests for variance, and a response to motion for stay; and FERC responded. On 6/28/18 FERC issued a data request. During third quarter 2018, ACP filed supplemental information and responses to FERC data requests; parties filed comments and petitions for review with the 4th Circuit Court of Appeals; and FERC issued</del> environmental compliance monitoring reports, variance requests and authorizations to proceed with work in NC, WV and PA. FERC also granted rehearing for further consideration of several orders and letter orders. During fourth quarter 2018, <del>FERC issued environmental monitoring reports; and</del> opposition to the pipeline continued in the DC and 4th Circuit Courts. Construction activities have been halted, but weekly reports continued. On 7/10/20 DETI advised that Dominion Energy and Duke Energy announced cancellation of the | Q <del>2</del> 2021                              |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | project. DETI requested a one-year extension of time, until 10/13/21, to complete construction activities required to complete the abandonment and restoration of the ACP project areas. On 1/4/21 ACP filed its disposition and restoration plan. On 3/21/21 FERC issued notice of opening the scoping period, which allows comments. Waiting for FERC order.   |  |
| CP16-3        | DEC, DEP   | Texas Eastern Transmission, LP's application for an abbreviated CPCN for proposed Access South, Adair Southwest and Lebanon Extension Projects, filed 10/8/15. On 11/9/15 DEC and DEP intervened. On 12/21/16 FERC issued the CPCN and approved abandonment of facilities. On 12/29/16 Texas Eastern filed its Initial Implementation Plan. On 1/9/17 Texas Eastern accepted the CPCN terms. On 3/31/17 FERC granted Texas Eastern's request to begin construction of the Berne Compressor Station in Ohio. During second quarter 2017, FERC issued an Environmental Inspection Report. On 7/19/17 FERC granted request to place facilities in service. On 8/8/17 Texas Eastern notified FERC that facilities commenced service 8/1/17. On 10/31/17 FERC authorized Texas Eastern to place Access South and Adair Southwest Projects into interim service. On 11/9/17 Texas Eastern filed notice that the 2 projects had been placed in interim service on 11/1/17. Texas Eastern filed on 5/3/18 its final notice of commencement of service. Restoration activities continued. | Q <del>2</del> + 2021                            |
| CP16-10       | DEC, DEP   | Mountain Valley Pipeline (MVP) application for CPCN to build 301 miles of new interstate natural gas pipeline, 3 compressor stations and other facilities in WV and VA, filed 10/23/15, requesting a final order by 10/15/16. On 11/12/15 DEC and DEP intervened. On 3/31/17 FERC revised the schedule for environmental review. On 6/23/17 FERC issued the Final Environmental Impact Statement. On 10/13/17  | Q <del>2</del> + 2021                            |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).       | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------------|--|--|--|
|                     |  | FERC issued certificate, subject to 41 conditions. On 10/20/17 MVP accepted the certificate. On 12/13/17 FERC granted rehearing for further consideration of 10/13/17 order. On 3/26/18 FERC granted rehearing for further consideration of various 2018 letter orders authorizing construction. During second and third quarters 2018, many parties filed comments and petitions for review with the DC Circuit Court of Appeals; and FERC authorized construction on some segments. During fourth quarter 2018, the Army Corps of Engineers suspended its permit after the 4th Cir. Court of Appeals vacated the permit; and FERC issued environmental monitoring reports. Construction activities continued. On 10/9/20 FERC granted 2-year extension to complete certain construction activities. On 12/11/10 FERC issued order on rehearing, confirming earlier order. Another comment period underway. |  |
| <del>CP16-501</del> | <del>DEC, DEP</del>                                  | <del>Texas Eastern's abbreviated application for CPCN for proposed Marshall County Mine Panel Project in WV, filed 9/15/16. On 10/20/16 DEC and DEP intervened. On 1/26/17 FERC issued a CPCN, and on 2/7/17 Texas Eastern accepted the CPCN order. During first quarter 2017, Texas Eastern filed a notice to proceed with construction, and FERC approved it 3/7/17. On 4/5/17 Texas Eastern filed a Notice of Commencement of Construction. Texas Eastern filed a notice of commencement of service on 8/28/18. On 11/8/18 Texas Eastern notified FERC that construction and initial restoration activities have been completed; quarterly reports will be filed until restoration work is complete. Restoration activities completed. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| <del>CP17-6</del>   | <del>DEC, DEP</del>                                  | <del>Texas Eastern abbreviated application for approval to abandon 16.5 miles of pipeline facilities previously removed from active gas</del>  | <del>Q1 2021</del>                               |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | <del>service in OH, WV and PA, filed 10/28/16. On 11/30/16 DEC and DEP intervened. On 6/15/17 FERC approved abandonment of the facilities, with environmental conditions. On 2/21/18 FERC granted the request to proceed with abandonment. On 11/2/18 Texas Eastern notified FERC that abandonment activities had been completed. Restoration activities completed. (Closed 3/31/21)</del>  |  |
| CP17-46       | DEC, DEP   | <del>Southern Natural Gas Co. (SNG) CPCN application for the Fairburn Expansion Project in Georgia, filed 2/3/17. On 3/7/17 DEC and DEP intervened. On 8/18/17 FERC issued Environmental Assessment of the project. On 2/15/18 FERC issued CPCN for the project, and SNG filed its acceptance. On 3/15/18 SNG notified FERC that construction began on 3/7/18. On 5/16/18 SNG filed request to place SM2LL facilities in service. SNG filed a notice of commencement of service on 6/27/18. During fourth quarter 2018, FERC authorized SNG to place Fairborn Expansion Project in service, and SNG notified FERC that the project was placed in service 12/18/18. Post construction activities completed. (Closed 3/31/21)</del> | Q1 2021  |
| CP17-58       | DEC, DEP   | <del>Transeo abbreviated CPCN application for the St. James Supply Project in Louisiana, filed 2/6/17. On 3/7/17 DEC and DEP intervened. On 1/18/18 FERC issued CPCN with conditions. During first quarter 2018, Transeo accepted the certificate, filed an implementation plan, filed notices of construction commencement and execution of firm contract for capacity levels and terms in the signed precedent agreement. Post construction activities continued. Reporting will be annual, starting in 2020. On 1/4/21 Transeo reported that all phases of construction were complete. (Closed 3/31/21)</del>  | Q1 2021  |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
| CP17-101      | DEC, DEP   | Transco abbreviated CPCN application to construct and operate the Northeast Supply Enhancement Project, filed 3/26 /17. DEC and DEP intervened on 4/27/17. On 3/23/18 FERC issued notice of the draft EIS, with comments due 5/14/18. On 5/3/19 FERC issued certificate. Requests for rehearing were filed. On 7/2/19 FERC granted rehearing for further consideration of 5/3/19 order. During third quarter 2019 Transco filed implementation plan. On 4/16/20 FERC denied requests for rehearing and stay. On 3/22/21 FERC allowed comments on Transco request for 2-year extension to complete construction. Comment period underway. <u>On 5/20/21 FERC granted extension to 5/3/23 to place project in service. Rehearing was requested.</u> | Q <del>2</del> +2021                             |
| CP17-212      | DEC  | Transco variance request for a route modification in Luzerne and Wyoming counties, part of the Atlantic Sunrise Project, filed 4/19/17 and supplemented 4/27/17 and 5/16/17. DEC intervened on 5/12/17. On 5/18/17 FERC granted the certificate to abandon, with conditions. On 5/24/17 Transco accepted the certificate. On 7/31/17 Transco filed implementation plan. On 9/5/17 Transco filed request for Notice to Proceed, which FERC granted 9/15/17. On 10/4/18 FERC authorized Transco to place the project in service. Post-construction activities continued.  | Q <del>2</del> + 2021                            |
| CP17-450      | DEC, DEP   | Transco application for approval to abandon gathering facilities located in offshore Texas, filed 5/25/17. During second quarter 2017, several parties intervened, including DEC and DEP on 6/19/17; FERC issued a data request, and Transco responded. On 7/25/17 Transco filed supplemental information. On 8/2/17 FERC issued the Environmental Assessment. On 8/8/17 FERC granted the request to abandon pipeline facilities and required Transco to notify the   | Q <del>2</del> + 2021                            |



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| Docket No(s).       | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------------|--|---|--|
|                     |  | Commission within 10 days of abandonment. On 5/7/19 Transco filed motion to vacate abandonment authorization. <del>Waiting for FERC order.</del> (Closed 6/30/21)   |  |
| CP18-18             | DEC, DEP   | Transco abbreviated CPCN application for Gateway Expansion Project, filed 11/15/17. On 12/12/17 DEC and DEP intervened. On 1/2/18 FERC issued notice of intent to prepare an environmental assessment (EA) for the project and requested comments by 2/2/18. On 5/24/18 FERC issued schedule for environmental review. On 12/12/18 FERC issued the CPCN, with Commissioner Glick dissenting. On 12/20/18 Transco filed an implementation plan. On 2/11/19 FERC granted rehearing for further consideration. On 11/22/19 FERC authorized Transco to place Roseland Station into service. Post construction activities continued. | <del>Q2+</del> 2021                              |
| CP18-145            | DEC, DEP   | Transco abbreviated CPCN application for reduced operating pressures and partial abandonment of capacity of Caverns 5, 6, and 7 at the Eminence Storage Field located in Covington County, MI, filed 3/27/18. On 4/9/18 DEC and DEP intervened. On 1/24/19 FERC approved abandonment and amended Certificate. On 4/4/19 FERC granted clarification. On 4/5/19 Transco accepted FERC's 1/24/19 order. Abandonment activities continued. Semiannual monitoring reports are required for 5 years.  | <del>Q2+</del> 2021                              |
| CP18-186            | DEC, DEP   | Transco abbreviated CPCN application to construct Southeastern Trail Project, filed 4/11/18. On 5/24/18 DEC and DEP intervened. On 10/17/19 FERC issued a CPCN, with Commissioner Glick dissenting. On 10/31/19 Transco filed an implementation plan. Construction activities continued.  | <del>Q2+</del> 2021                              |
| <del>CP18-485</del> | <del>DEC, DEP</del>                                  | <del>Joint Texas Eastern and Transco abbreviated application to abandon offshore gathering facilities, including a lateral line and related</del>   | <del>Q1-2021</del>                               |



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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | <del>metering and other facilities, located in federal waters in the Gulf of Mexico near LA, filed 5/17/18. On 6/14/18 DEC and DEP intervened. On 10/25/18 FERC issued Environmental Assessment. On 7/18/19 FERC granted abandonment. On 9/16/19 FERC granted rehearing for further consideration. On 3/19/20 FERC denied rehearing and stay and set forth requirements for abandonment activities. (Closed 3/31/21)</del>   |  |
| CP18-533      | DEC, DEP   | Texas Eastern abbreviated application to abandon facilities (lateral pipeline and associated facilities located in Harrison and Marion Counties, TX), filed 7/24/18. DEC and DEP intervened on 8/28/18. On 1/25/19 FERC issued Environmental Assessment. On 8/21/19 FERC approved abandonment. During third quarter Texas Eastern filed its implementation plan. Abandonment activities complete. Texas Eastern filed reports of restoration activities.   | Q <del>2</del> + 2021                            |
| CP19-14       | DEC, DEP   | Mountain Valley Pipeline (MVP) CPCN application for the Southgate Project, filed 11/6/18. DEC and DEP intervened on 12/10/18. On 2/14/20 FERC issued Final EIS. On 6/18/20 FERC issued CPCN. Rehearing was requested. On 9/17/20 FERC addressed rehearing, modified the CPCN and dismissed requests for stay. <u>Construction and restoration activities underway.</u>   | Q <del>2</del> + 2021                            |
| CP19-481      | DEC, DEP   | Transco request for authorization to abandon an existing 10-inch supply lateral and 8-inch supply lateral extending from Ship Shoal Block 169 to Ship Shoal Block 72 (Supply Laterals) and appurtenant metering facilities, all in Federal waters offshore Louisiana, filed 6/21/19. Duke Energy intervened 8/18/19. <del>Waiting for FERC order.</del> <u>On 7/16/19 FERC issued Environmental Assessment report stating that the project qualified for categorical exclusion. (Closed 6/30/21)</u> | Q <del>2</del> + 2021                            |

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| Docket No(s).         | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|--|---|--|
| CP19-494              | DEC, DEP   | Transco application, in abbreviated form, for a certificate of public convenience and necessity to construct and operate Leidy South Project, filed 7/31/19. On 8/27/19 DEC and DEP intervened. On 7/17/20 FERC issued the CPCN. Construction activity underway.  | Q <del>2</del> + 2021                            |
| CP21-57               | DEC, DEP   | Mountain Valley Pipeline (MVP) abbreviated application to amend certificate to allow MVP to change the crossing method for specific wetlands and waterbodies from the open-cut crossings to one of several trenchless methods, filed 2/19/21. On 3/22/21 DEC and DEP intervened. Comment period continued.  | Q <del>2</del> + 2021                            |
| <u>CP21-94</u>        | <u>DEC, DEP</u>                                      | <u>Transco CPCN application for Regional Energy Access Expansion, filed 3/26/21. On 4/30/21 DEC and DEP intervened. Comment period underway.</u>  | <u>Q2 2021</u>                                   |
| EL13-88;<br>ER16-1969 | Duke Energy,<br>DEC, DEP,<br>DEBS                    | Complaint of Northern Indiana Public Service Co. (NIPSCO) against MISO and PJM to remedy flaws in the interregional planning process between the two, filed on 9/11/13. Duke Energy intervened on 9/30/13 on behalf of its subsidiaries that sell into the PJM market. A technical conference was held 6/15/15 to explore issues raised in the complaint. On 4/21/16 FERC granted complaint in part and directed MISO and PJM to submit compliance filings and informational filings pertaining to their interregional planning process. On 6/17/16 FERC granted rehearing for further consideration. On 8/19/16 MISO and PJM filed a joint report on efforts to improve transmission expansion planning coordination, as required by 4/21/16 order. On 10/18/16 (supplemented 10/25/16) MISO and PJM filed another report in response to 4/21/16 order. On 4/24/17 MISO filed compliance filing and motion for extension in ER16-1969, to allow MISO to continue working with stakeholders on cost allocation methodology. On 6/27/17 FERC granted the extension until 10/31/18. | Q <del>2</del> + 2021                            |

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| Docket No(s).         | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|--|---|--|
|                       |  | On 9/17/18 MISO and MISO TOs filed an expedited joint motion for a limited extension of the compliance deadline and a request for a shortened comment period. During fourth quarter 2018, MISO and MISO TOs requested an extension of compliance deadline, which the Generator Group subsequently opposed. On 2/28/19 MISO filed compliance filing in Docket Nos. ER16-1969 and ER19-1156. On 6/24/19 FERC rejected the compliance filing. On 9/13/19 and 12/16/19 FERC granted extensions for revised compliance filing. On 3/20/20 FERC rejected proposed revisions and compliance filing. On 7/28/20 FERC addressed arguments on rehearing but reached the same result and accepted MISO's compliance filing. Matter was appealed to DC Circuit Court (Case No. 20-1391).  |  |
| EL14-37;<br>ER17-1433 | Duke Energy, DEC, DEP                                | Investigation to address whether PJM's current OATT applies the Financial Transmission Rights (FTR) forfeiture rule to Up to Congestion transactions justly, issued 8/29/14. On 9/22/14 Duke Energy intervened. On 12/16/14 FERC denied the 9/24/14 motion for clarification and expedited consideration. The technical conference was held 1/7/15. On 1/19/17 FERC issued its Order on investigation, finding PJM's application of its FTR forfeiture rule to virtual transactions to be unjust and unreasonable; requiring PJM to make a compliance filing within 90 days; and holding the issue of uplift in abeyance, pending the outcome of a NOPR in a separate proceeding. On 7/14/17 PJM filed a statement of support. On 4/18/17 PJM filed compliance filing in ER17-1433. On 10/17/19 FERC required additional briefing. Comments were filed. On 7/16/20 FERC found PJM's uplift allocation rate unjust and directed PJM to submit a replacement rate within 45 days. On 8/17/20 rehearing was requested. | Q <del>2</del> + 2021                            |

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|---------------|--|---|--|
|               |  | On 9/17/20 FERC granted rehearing for further consideration. Waiting for FERC order.  |  |
| EL15-67       | DEC, DEP, DEBS                                       | Complaint of Linden VFT, LLC against PJM re PJM's proposed cost allocations for projects resulting from PJM's 2013 Regional Transmission Expansion Plan, filed 5/22/15 and amended 7/10/15. On 7/1/15 DEBS intervened on behalf of several affiliates. On 11/24/15 FERC accepted the tariff revisions but suspended them for 5 months, subject to refund, to become effective 4/25/16 or on a date set forth in future order following a technical conference. A technical conference was held 1/12/16. On 4/22/16 FERC denied complaint. On 6/21/16 FERC granted rehearing for further consideration. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed at the technical conference. During third quarter 2018, FERC established settlement judge procedures. On 9/17/18 FERC granted rehearing for further consideration. On 7/19/19 Settlement Judge issued final report declaring impasse and recommending that settlement judge procedures be terminated. On 7/22/19 settlement judge procedures were terminated. On 11/21/19 FERC dismissed requests for rehearing of the order establishing settlement judge procedures. On 2/20/20 FERC denied requests for rehearing. Matter has been appealed to DC Circuit (Case No. 20-1074). On 12/7/20 FERC issued order on rehearing. | Q <del>2</del> + 2021                            |
| EL16-49       | Duke Energy, DEC, DEBS                               | Complaint of Calpine Corp., Dynegy, et al. against PJM, asking FERC to expand the Minimum Offer Price Rule to prevent the artificial suppression of prices in the Reliability Pricing Model market by below-cost offers for existing resources whose continued operation is being subsidized by state-approved out-of-market payments, filed  | Q <del>2</del> + 2021                            |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).      | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|--------------------|--|--|--|
|                    |  | 3/21/16. On 4/5/16 Duke Energy intervened. On 6/29/18 FERC rejected PJM tariff revisions, granted in part and denied in part Calpine's complaint, and instituted Section 206 proceeding in EL18-178. On 8/29/18 FERC granted rehearing for further consideration. See EL18-178 for updates. Petitions for review have been filed with DC Circuit Court (Case No. 21-1183) and 7 <sup>th</sup> Circuit (Case No. 20-3405).  |  |
| <del>EL17-29</del> | <del>Duke Energy, DEC, DEP, DEBS</del>               | <del>Complaint of American Municipal Power (AMP) alleging that MISO's assessment of congestion and administrative charges associated with dispatches of an AMP generating resource by PJM is unjust and unreasonable, filed 12/19/16 and supplemented 12/20/16. On 1/17/17 Duke Energy intervened. On 1/25/17 MISO filed its answer to the complaint and also a joint motion with PJM to hold the matter in abeyance. On 3/27/17 MISO and PJM filed an informational update on efforts to resolve the congestion overlap issue. On 5/26/17, 7/25/17, 9/25/17, 11/22/17, updates on their efforts 1/23/18 and 4/6/18 MISO/PJM filed status updates. On 6/1/18 AMP et al. filed a joint request for prompt action, to which MISO responded on 6/13/18. On 6/28/18 AMP et al. replied to PJM filing. On 11/21/19 FERC denied rehearing and clarification requests. Settlement judge procedures continued. On 1/24/20 Dynegy filed petition for review with DC Circuit Court. On 4/20/20 uncontested offer of settlement was certified to the Commission. On 4/29/20 settlement judge procedures were terminated. (Closed 3/31/21)</del> | <del>Q1 2021</del>                               |
| EL17-68            | Duke Energy, DEC, DEP                                | Linden VFT complaint and request for fast track processing, alleging that PJM's cost reallocations for BLC project are unjust and unreasonable, filed 4/28/17. During second quarter 2017, several parties intervened, including Duke Energy on 5/2/17. On 2/20/20   | Q <del>2</del> 2021                              |

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| Docket No(s).         | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|--|---|--|
|                       |  | FERC denied complaint. On 3/23/20 Linden VFT filed a request for rehearing. On 4/20/20 FERC granted rehearing for further consideration. See also EL15-67. Petitions for review were filed with DC Circuit Court (Case No. 20-1162).  |  |
| EL17-84;<br>ER17-2073 | Duke Energy,<br>DEC, DEP                             | Section 206 investigation into the justness of Hudson Transmission Partners LLC (HTP) being unable to convert its Firm Withdrawal Rights into Non-Firm Transmission Withdrawal Rights following FERC's rejection of PJM's unexecuted amendment to Interconnection Service Agreement, issued 9/8/17. On 9/18/17 Duke Energy intervened. On 10/10/17 PJM responded to 9/8/17 show cause order. On 12/15/17 FERC issued order requiring PJM to permit conversion of firm to non-firm transmission withdrawal rights and required PJM to amend the agreement with HTP. On 2/9/18 FERC granted rehearing for further consideration of 12/15/17 order. Settlement judge procedures continued. On 1/23/20 FERC denied rehearing. Petitions for review have been filed with DC Circuit Court. See also EL15-67 above. | Q <del>2</del> 1 2021                            |
| EL18-34               | Duke Energy,<br>DEC, DEP                             | Order and Notice instituting investigation into whether PJM's practices re pricing of fast-start resources may be unjust and unreasonable, issued 12/21/17. On 1/3/18 Duke Energy intervened. On 2/12/18 PJM filed its initial brief. Comments, answers and briefs were filed. On 4/18/19 FERC directed PJM to submit a compliance filing. On 9/27/19 PJM filed its compliance filing. Waiting for FERC order.  | Q <del>2</del> 1 2021                            |
| EL18-178              | Duke Energy,<br>DEC, DEP                             | Paper hearing proceeding initiated by FERC when it rejected complaint in EL16-49, issued 6/29/18. Notice of Section 206 proceeding and refund effective date, issued 7/2/18. During third quarter 2018, many parties intervened and filed comments, including   | Q <del>2</del> 1 2021                            |

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| Docket No(s).         | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|--|---|--|
|                       |  | Duke Energy on 7/23/18. During fourth quarter 2018, many parties filed comments, reply comments and briefs. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. On 7/25/19 FERC denied PJM's supplemental motion for reconsideration and directed PJM not to run the Base Residual Auction in August 2019. On 12/19/19 FERC issued an order establishing a just and reasonable rate and directed PJM to file new tariffs in 90 days. Many parties filed petitions for rehearing or clarification. On 2/18/20 FERC granted rehearing for further consideration. On 4/16/20 FERC issued an order on rehearing and clarification. Many parties filed requests for rehearing and petitions for review with the DC and 7 <sup>th</sup> Circuits (Case No. 20-3028 et al.). On 1/19/21 FERC issued order on compliance and clarification, modified on 2/18/21 to require a compliance filing. Petitions for review were filed with 7 <sup>th</sup> Circuit. |  |
| EL19-47               | Duke Energy, DEC, DEP                                | Complaint of PJM IMM against PJM, alleging lack of effective market power mitigation in the capacity market, filed 2/21/19. On 4/17/19 Duke Energy intervened. On 3/18/21 FERC granted complaint and required additional briefing. <u>On 4/18/21 expedited clarification or rehearing was requested.</u> Waiting for FERC order.  | Q <del>2</del> + 2021                            |
| EL19-58;<br>ER19-1486 | Duke Energy, DEC, DEP                                | PJM proposed revision to its Operating Agreement to implement reserve market enhancements. On 5/13/19 Duke Energy intervened. On 5/21/20 FERC accepted PJM filings in part and required a compliance filing in 45 days. Several parties requested rehearing or filed petitions for review with DC Circuit Court (Case No. 20-1372). On 11/3/20 FERC issued order on rehearing. On 1/4/21 FERC accepted PJM filing. On 3/9/21 FERC addressed arguments on rehearing but reached the same result. <u>Request for rehearing was filed with DC Circuit Court.</u>   | Q <del>2</del> + 2021                            |



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| Docket No(s).         | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-----------------------|--|---|--|
| EL19-79               | Duke Energy, DEC, DEP                                | Complaint of LSP Transmission Holding II, LLC, Cardinal Point Electric, LLC and LS Power Mid-continent, LLC against MISO, alleging flaws in economic planning process, filed 6/5/19. On 6/24/19 Duke Energy intervened. Comment period continued. On 7/28/20 FERC denied the complaint. Rehearing was requested. On 9/28/20 FERC granted rehearing for further consideration. On 12/3/20 FERC issued order addressing arguments on rehearing. Petitions for review were filed with DC Circuit Court (Case No. 20-1465).   | Q <del>2</del> + 2021                            |
| EL19-100;<br>ER20-584 | Duke Energy, DEC, DEP                                | Section 206 proceeding re reasonableness of PJM's application of minimum run-time rules and procedures to Capacity Storage Resources, initiated 10/17/19 (EL19-100), and PJM's compliance filing to incorporate rules pertaining to qualifications for Capacity Resources in PJM's Reliability Assurance Agreement, filed 12/11/19 (ER20-584). On 3/11/20 Duke Energy intervened out-of-time on behalf of subsidiaries. On 4/10/20 FERC issued order establishing – and holding in abeyance until 10/30/20 – paper hearing procedures to examine rules for determination of capacity values for all resources. <u>On 4/30/21 FERC rejected proposed tariff revisions and established briefing schedule. On 5/25/21 FERC held paper hearing in abeyance until issuance of order on PJM's revised ELCC proposal (ER21-278). Waiting for FERC order.</u> | Q <del>2</del> + 2021                            |
| EL20-4;<br>ER21-1319  | DEP  | NCEMPA complaint against DEP regarding the ROE in the Full Requirements Power Purchase Agreement between NCEMPA and DEP, filed 10/11/19. DEP requested summary dismissal of the complaint on the basis that NCEMPA had failed to meet its burden of proof that the whole rate is unjust and unreasonable. Settlement judge procedures were established. On 3/30/21 DEP filed notice of offer of settlement in ER21-1319 that would resolve all issues set for   | Q <del>2</del> + 2021                            |



## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | hearing in EL20-4. <u>On 4/19/21 uncontested settlement was certified. On 4/29/21 settlement judge procedures were terminated.</u> Waiting for FERC order.   |  |
| EL20-10       | Duke Energy, DEC, DEP                                | Anbaric Development Partners, LLC complaint against PJM pertaining to denial of access for merchant transmission platform projects designed to connect remote generation resources to PJM transmission system, filed 11/18/19. On 11/26/19 Duke Energy intervened. On 6/18/20 FERC denied complaint. On 7/20/20 Anbaric requested rehearing. On 8/20/20 FERC granted rehearing for further consideration. Matter was appealed to DC Circuit Court (Case No. 20-1420).  | Q <del>2</del> + 2021                            |
| EL20-15       | DEP  | NCEMPA petition for declaratory order pertaining to right of NCEMPA to utilize battery storage technology under PPA with DEP, filed 12/23/19. On 12/30/19 DEP intervened. On 1/20/20 DEP filed protest. On 9/17/20 FERC granted the petition for declaratory order. On 12/17/20 FERC addressed arguments on rehearing but reached the same result. Rehearing was requested, and petitions for review filed with the DC Circuit Court (Case Nos. 20-1495 and 21-1009) <u>where briefing is complete, and parties await date for oral arguments.</u> | Q <del>2</del> + 2021                            |
| EL20-59       | Duke Energy, DEC, DEP                                | Duquesne Power Co. complaint asking FERC to order PJM not to file stakeholder proposals that violate the PJM Transmission Owners Agreement, filed 7/30/20. On 8/11/20 Duke Energy intervened. Waiting for FERC order.  | Q <del>2</del> + 2021                            |
| EL21-9        | DEP  | NCEMC complaint about DEP ROE for power supply and coordination agreement, filed 10/16/20. Waiting for FERC order.   | Q <del>2</del> + 2021                            |
| EL21-14       | Duke Energy, DEC, DEP                                | NextEra Energy et al. expedited petition for declaratory order to resolve issues arising from Orders 860 and 860-A. On 11/16/20 Duke   | Q <del>2</del> + 2021                            |

## Attachment A

Open FERC Dockets – ~~Second~~**First** Quarter 2021

| Docket No(s).           | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-------------------------|--|---|--|
|                         |  | Energy intervened, and on 11/30/20 Duke Energy filed comments. On 3/18/21 FERC denied petition for declaratory order but provided guidance that addresses concerns raised. <u>On 4/19/21 EEI and NextERA requested rehearing and clarification. On 6/17/21 FERC addressed arguments raised on rehearing but reached the same conclusion.</u> (Closed <del>63/304</del> /21)   |  |
| <u>EL21-18</u>          | <u>Duke Energy</u>                                   | <u>Complaint of Kansas Electric Co-op. against Evergy Kansas Central related to the 2020 annual update which set cost-of-service rates for the period 6/20 through 5/21, filed 11/17/20. On 4/15/21 FERC granted complaint in part and established settlement judge procedures. On 5/17/21 Duke, AEP and Dominion filed a limited request for clarification and rehearing, along with a motion to intervene out of time. Waiting for FERC order.</u>  | <u>Q2 2021</u>                                   |
| EL21-39                 | Duke Energy, DEC, DEP                                | Complaint of Long Island Power Authority and Neptune Regional Transmission System about PJM RTEP cost allocation assignments, filed 12/31/20. On 1/15/21 Duke Energy intervened. <u>On 6/25/21 FERC established paper hearing procedures.</u> Waiting for FERC order.   | Q <del>2</del> <u>+</u> 2021                     |
| ER10-2566;<br>ER13-2322 | DEP, DEC   | On 1/27/20 DEP and DEC filed notice of change in status to reflect DEP's Asheville units coming online, subsequently amended 5/21/20. On 6/29/20 Duke MBR Sellers filed their Triennial Updated Market Power Analysis. On 12/18/20 FERC accepted 2020 filings. On 12/18/20 and 2/11/21 Duke filed additional nonmaterial notices of change in status. <u>On 4/22/21 Duke Energy filed a supplement to its triennial market power analysis. On 5/26/21 FERC accepted the filing. (Closed 6/30/21)Waiting for FERC order.</u> | Q <del>2</del> <u>+</u> 2021                     |
| ER13-1924               | Duke Energy, DEC, DEP                                | PJM Order No. 1000 Compliance filing re interregional transmission planning and coordination per Joint Operating Agreement with MISO, filed 7/10/13. Duke Energy intervened on 9/5/13. On   | Q <del>2</del> <u>+</u> 2021                     |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | 12/18/14 FERC rejected in part and conditionally accepted in part PJM's compliance filing and directed PJM to submit further compliance filing within 60 days. <del>On 1/20/15 MISO TOs requested rehearing. On 6/15/15 FERC held a technical conference to explore issues raised.</del> On 4/5/16 FERC denied rehearing, conditionally accepted MISO and PJM's second compliance filings, subject to further compliance filings. On 5/4/16 FERC granted an extension until 6/20/16 to comply with 4/5/16 order. On 6/13/16 PJM Transmission Owners requested an extension of time to comply with 4/5/16 order: until 30 days after FERC rules on pending motions for clarification. On 6/20/16 FERC denied the motion for extension. On 10/28/16 FERC denied rehearing and conditionally accepted third compliance filings subject to further compliance filings. On 12/27/16 MISO TOs filed a petition for review with the DC Circuit Court of Appeals ( <del>Case No. 16-1449</del> ). On 6/22/18 DC Circuit affirmed FERC decision on MISO cost allocation. <del>Petition for review was filed 12/27/18. No further activity. (Closed 6/30/21)</del> |  |
| ER14-972      | Duke Energy, DEC, DEP, DEBS                          | PJM amendments to Schedules 6 and 12 to incorporate cost responsibility assignments for 111 upgrades in the recent update to its Regional Transmission Expansion Plan, filed on 1/10/14. Duke Energy intervened on 1/15/14. On 4/9/14 FERC accepted the filing but required a compliance filing. On 5/9/14 PJM submitted the compliance filing. On 8/20/14 PJM filed a motion to hold docket in abeyance, to allow parties to discuss cost allocation for the contested projects. On 12/16/14 PJM filed a motion to release the stay for this docket but not for the related docket, ER14-1485. On 6/18/15 FERC denied the complaint, denied rehearing and accepted ConEd's compliance filing. On 6/25/15 Con Ed filed a petition for review, and  | <del>Q2</del> 2021                               |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | on 6/26/15 Linden VTF did likewise. On 11/25/15 FERC accepted and suspended PJM's proposed tariff revisions and scheduled a technical conference on 1/12/16. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. In a separate order on 4/22/16, FERC denied rehearing requests associated with PJM tariff filings. On 6/17/16 and 6/21/16 FERC granted rehearing for further consideration of the two 4/22/16 orders. DC Circuit Court proceeding is in abeyance. On 2/20/20 FERC denied rehearing requests. NYPA filed petition for review in DC Circuit Court (Case No. 20-1074). Linden filed a motion with the DC Circuit Court to consolidate the related appeals. [See also ER15-2562.]   |  |
| ER15-2562     | DEC, DEP, DEBS                                       | PJM revisions to OATT and Operating Agreement to incorporate cost responsibility assignments for 19 of 31 baseline upgrades included in recent RTEP update approved by PJM Board, filed 8/28/15. On 10/12/15 DEBS intervened on behalf of several affiliates. On 11/25/15 FERC issued order accepting and suspending tariff revisions and scheduled a technical conference on 1/12/16, to discuss reasonableness of DFAX cost allocation method. On 4/22/16 FERC accepted PJM's cost responsibility assignments for transmission projects in recent RTEP. On 5/16/17 ConEd filed a motion to reopen the record and lodge the 4/6/17 letter from PJM CEO which addresses questions FERC posed in the technical conference. On 5/31/17 PSEG responded in opposition. On 9/11/17 ConEd filed a motion to reopen the record and to lodge a PJM report. Settlement judge procedures continued. [See EL15-67 for update.] | Q <del>2</del> <sup>1</sup> 2021                 |
| ER17-950      | Duke Energy, DEC, DEP                                | PJM proposed revisions to its OATT Schedule 12 appendices to revise cost responsibility assignments for Regional Facilities and   | Q <del>2</del> <sup>1</sup> 2021                 |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | Necessary Lower Voltage Facility and Lower Voltage Facilities included in the PJM Regional Transmission Expansion Plan (RTEP) due to termination of long-term firm point-to-point transmission service agreements entered between PJM and ConEd, filed 2/8/17 and amended 2/13/17. Duke Energy intervened on 4/6/17. On 4/25/17 FERC accepted PJM's filings and required a compliance filing. On 4/26/17 PJM filed its compliance filing. On 6/20/17 FERC accepted PJM's compliance filing, and on 6/23/17 FERC granted rehearing for further consideration. See EL15-67 above. Settlement judge procedures continued. On 2/20/20 FERC accepted tariff revisions and denied rehearing. Linden VFT filed request for rehearing, and NYPA filed petition for review with the DC Circuit Court ( <a href="#">Case No. 15-1183, 1188 and 16-1153</a> ). On 8/28/20 FERC addressed arguments on rehearing but reached the same result. Petitions for review were filed with the DC Circuit Court ( <a href="#">Case Nos. 20-1074, 1077, 1269, 1351 and 1382</a> ). |  |
| ER18-1314     | Duke Energy, DEC, DEP                                | PJM amendment to Reliability Pricing Model (RPM) rules in its OATT to propose 2 alternatives to address the price suppressing effects of state out-of-market support for certain resources, filed 4/9/18. On 4/30/18 Duke Energy intervened. On 6/29/18 FERC rejected the proposed tariff revisions, granted in part and denied in part Calpine's complaint in Docket EL16-49 on PJM's Minimum Offer Price Rule, established a refund effective date, and invited comment in a new Section 206 proceeding, EL18-178. On 7/10/18 Calpine expressed concern about remedy FERC proposed. On 8/29/18 FERC granted rehearing for further consideration. On 3/11/19 PJM filed its information plan in preparation for 2022-23 Base Residual Auction. On 3/13/20 and 3/25/20 PJM filed compliance filing.  | Q <del>2</del> + 2021                            |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | <del>Comment period underway.</del> On 4/16/20 FERC issued an order on rehearing and clarification. On 6/1/20 PJM submitted second compliance filing. Many parties filed requests for rehearing and petitions for review with the DC and 7 <sup>th</sup> Circuits. On 6/15/20 FERC granted rehearing for further consideration. <u>On 3/10/21 FERC accepted PJM filing, corrected by errata filed 6/4/21.</u> [See EL18-178 for update.] <u>(Closed 6/30/21)</u>  |  |
| ER19-1507     | DEC, DEP   | Amendment to Joint OATT provisions re Large Generator Interconnection Procedures, in compliance with Order Nos. 845 and 845-A, filed <u>by DEC and DEP</u> 4/2/19, 4/24/19 and 5/20/19. On 6/13/19 FERC found the filings deficient. On 7/12/19 DEC and DEP filed compliance filing, corrected 7/24/19. On 12/19/19 FERC accepted the compliance filing, subject to a further compliance filing within 60 days. On 2/14/20 DEC and DEP filed a further compliance filing. On 5/21/20 FERC partially accepted the compliance filing and required a further compliance filing in 120 days. On 8/3/20 DEC and DEP filed a further compliance filing. On 12/18/20 FERC accepted filing. On each of 11/13/20, <del>and</del> 2/12/21 <u>and 5/12/21</u> DEC and DEP filed an informational report on interconnection study delays. | <del>Q2+</del> 2021                              |
| ER19-2105     | DEC, DEP   | PJM Transmission Owners' revisions to PJM OATT Schedule 7 and 8 to update the PJM Border rate, filed 6/11/19. Duke Energy intervened on 6/28/19. On 11/5/19 FERC accepted and suspended tariff revisions and established settlement judge procedures. Rehearing was requested. On 8/21/20 FERC addressed arguments on rehearing but reached the same result. Settlement judge procedures continued. On 2/16/21 FERC terminated settlement judge procedures and assigned a judge to conduct a hearing. Waiting for FERC order.   | <del>Q2+</del> 2021                              |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).           | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|-------------------------|--|--|--|
| ER19-2915               | Duke Energy, DEC, DEP                                | PJM proposed stakeholder revisions to its Operating Agreement, committing PJM to review any cost commitment voluntarily presented as part of an RTEP project proposal, filed 9/30/19. On 10/8/19 Duke Energy intervened. On 12/23/19 FERC found the PJM proposal deficient and requested additional information. On 1/22/20 PJM responded to deficiency letter. On 3/20/20 FERC accepted filing. On 4/20/20 2 parties requested rehearing. On 10/26/20 FERC issued order on rehearing. Matter was appealed to DC Circuit Court (Case No. 20-1454). | Q <del>2</del> <sup>1</sup> 2021                 |
| ER20-841                | Duke Energy, DEC, DEP                                | PJM Transmission Owners revision to OATT to add Attachment M-4, planning procedures to mitigate risk associated with critical transmission facilities, filed 1/12/20. On 1/28/20 Duke Energy intervened on behalf of subsidiaries. On 3/17/20 FERC accepted tariff revision. On 4/16/20 parties requested rehearing. Petitions for review were filed with DC Circuit Court (Case No. 20-1249). On 11/19/20 FERC issued order on rehearing, affirming findings in 3/17/20 order. Petition for review was filed with DC Circuit (Case No. 21-1011).  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER20-862                | Duke Energy, DEC, DEP, DEBS                          | MISO revisions to its OATT to provide cost allocation methodology for MISO's share of Interregional Economic Projects, filed 1/28/20. On 1/2/20 Duke Energy intervened on behalf of subsidiaries. On 3/20/20 FERC rejected the filing. Parties requested rehearing. On 5/19/20 FERC granted rehearing for further consideration. On 7/28/20 FERC addressed arguments on rehearing but reached the same result. Petitions for review were filed with DC Circuit Court (Case No. 20-1465).   | Q <del>2</del> <sup>1</sup> 2021                 |
| ER20-1723;<br>ER20-1724 | Duke Energy, DEC, DEP, DEBS                          | MISO and MISO Transmission Owners (TOs) revision of MISO OATT and ISO Agreement (in 2 filings due to tariff structure) to expand and modify process for identifying Market Efficiency  | Q <del>2</del> <sup>1</sup> 2021                 |



## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | Projects, filed 4/30/20. On 6/1/20 Duke Energy intervened. MISO requests that both dockets be treated as one request. On 7/28/20 FERC accepted MISO filing. Requests for rehearing were filed. On 12/3/20 FERC issued order on rehearing, affirming 7/28/20 order. Matter was appealed to DC Circuit Court (Case No. 20-1466).  |  |
| ER20-1837     | DEC, DEP   | Revision of OATT formula rate templates in compliance with FERC Order Nos. 864 and 864-A, filed 5/15/20. On 8/24/20, DEC and DEP filed an amendment to their Order No. 864 compliance filing. On 9/14/20, DEC and DEP submitted a second amendment to their Order No. 864 compliance filing. Waiting for FERC order.  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER20-2046     | Duke Energy, DEC, DEP                                | PJM TOs revision of PJM OATT to expand Attachment M3 to include projects to replace transmission facilities nearing the end of their useful lives, filed 6/12/20. On 6/19/20 Duke Energy intervened. On 8/11/20 FERC accepted PJM filing. Rehearing was requested 9/10/20, and FERC denied it 10/13/20. Petitions for review were filed with the DC Circuit Court (Case Nos. 20-1449 and 21-1006). On 12/17/20 FERC addressed arguments on rehearing. <u>(Closed 6/30/21)</u> | Q <del>2</del> <sup>1</sup> 2021                 |
| ER20-2308     | Duke Energy, DEC, DEP                                | PJM revision of Operating Agreement Schedule 6 re planning for “end of life” for all transmission projects, filed 7/2/20. On 7/13/20 Duke Energy intervened. On 12/17/20 FERC rejected tariff revision. On 1/19/21 request for rehearing was filed. On 2/19/21 FERC granted rehearing for further consideration. On 3/23/21 a petition for review was filed with DC Circuit Court.  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-278      | Duke Energy, DEC, DEP                                | PJM revisions to Reliability Assurance Agreement to create and implement an Effective Load Carrying Capability <u>(ELCC)</u> construct or provide a Fixed Resource Required Capacity Plan, filed 10/30/20. On 11/20/20 Duke Energy intervened. <u>On 4/30/21 FERC rejected</u>  | Q <del>2</del> <sup>1</sup> 2021                 |



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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).                               | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---|--|--|--|
|   |  | <u>tariff revisions and established a briefing schedule in Dockets EL19-100 and ER20-584. Waiting for FERC order.</u>  |  |
| <del>ER21-286;</del><br><del>ER21-405</del> | <del>DEC</del>                                       | <del>Dynamic Transfer Agreement with Camden (SC), Carolina Power Partners, LLC and DEP, filed 10/30/20. Concurrence was filed by DEP on 11/13/20. On 12/18/20 FERC accepted DEC filing. On 1/5/21 FERC accepted DEP concurrence in ER21-405. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| ER21-304                                    | DEC  | Cherokee County Cogeneration Partners tariff for Reactive Supply and Voltage Control from Generation Sources Service, filed 11/2/20. On 11/23/20 DEC intervened. On 12/31/20 FERC notified Cherokee that filing is deficient. On 2/1/21 Cherokee responded. <u>On 4/2/21 FERC dismissed Cherokee filing. On 5/3/21 Cherokee requested rehearing.</u> Waiting for FERC order. | <del>Q1</del> 2021                               |
| <del>ER21-489</del>                         | <del>DEP</del>                                       | <del>Reimbursement Agreement with Edenton Solar, LLC, filed 11/25/20. On 1/13/21 FERC accepted DEP filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| <del>ER21-565</del>                         | <del>DEC</del>                                       | <del>NITSA with Piedmont Municipal Power Agency (PMPA), filed 11/3/20. On 1/27/21 FERC accepted DEC filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| <del>ER21-687</del>                         | <del>DEC</del>                                       | <del>Revisions to power purchase agreements with Dallas and Due West (SC), filed 12/18/20. On 2/12/21 FERC accepted DEC filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| <del>ER21-688</del>                         | <del>DEC</del>                                       | <del>Revisions to power purchase agreements (now cancelled) with Concord, Kings Mountain and Greenwood (SC), filed 12/18/20. On 2/12/21 FERC accepted DEC filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| ER21-726                                    | Duke Energy, DEC, DEP                                | PJM revisions to OATT Schedule 12 appendices re 2021 RTEP annual cost allocation, filed 12/23/20. On 1/15/21 Duke Energy intervened. <u>On 5/21/21 FERC accepted the PJM filing. On 6/21/21 Long Island Power Authority and Neptune requested rehearing.</u> Waiting for FERC order.   | <del>Q1</del> 2021                               |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).       | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------------|--|--|--|
| <del>ER21-767</del> | <del>DEC</del>                                       | <del>Revision to power purchase agreement with Central EPC, filed 12/30/20. On 2/17/21 FERC accepted DEC filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| <del>ER21-781</del> | <del>DEC</del>                                       | <del>Revisions to power purchase agreements with Blue Ridge EMC, Piedmont EMC, Haywood EMC and Rutherford EMC, filed 12/31/20. On 2/19/21 FERC accepted DEC filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| <del>ER21-846</del> | <del>DEC</del>                                       | <del>Revision of power purchase agreement with Lockhart Power Co., filed 1/8/21. On 2/26/21 FERC accepted DEC filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| <del>ER21-868</del> | <del>DEC</del>                                       | <del>Revision of NITSA with Lockhart Power Co., filed 1/12/21. On 3/3/21 FERC accepted DEC filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| <del>ER21-870</del> | <del>DEC</del>                                       | <del>Reimbursement Agreement with NCEMC, filed 1/12/21. On 3/5/21 FERC accepted DEC filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |
| <del>ER21-994</del> | <del>DEC</del>                                       | <del>Revision of NITSA with NCEMC, filed 1/29/21. On 3/29/21 FERC accepted DEC filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| ER21-1071           | DEC  | Revisions to power purchase agreements with Forest City, Highlands and WCU, filed 2/8/21. <del>Waiting for FERC order.</del> On 4/8/21 FERC accepted DEC filing. (Closed 6/30/21)  | <del>Q1</del> 2021                               |
| ER21-1111           | DEC, DEP   | Southern Company Services' Southeast Energy Exchange Market (SEEM) Agreement filed 2/12/21 on behalf of Alabama Power Co. and other Members of SEEM, providing the framework and rules for establishing and maintaining a voluntary electronic trading platform designed to enhance the existing bilateral market in the Southeast utilizing zero-charge transmission service. On 3/11/21 DEC and DEP intervened. On 5/4/21 FERC found filing deficient, and Alabama Power responded. <del>Comment period continued.</del> Waiting for FERC order. | <del>Q1</del> 2021                               |
| ER21-1112           | DEC, DEP   | Dominion Energy SC concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed   | <del>Q1</del> 2021                               |

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| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
|               |  | 2/12/21. On 3/11/21 DEC and DEP intervened. <del>Comment period continued.</del> <a href="#">[See ER21-1111 for status.]</a>   |  |
| ER21-1114     | DEC, DEP   | Louisville Gas & Electric (LG&E) <a href="#">concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company</a> <del>OATT provision to facilities SEEM Agreement</del> , filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. <a href="#">[See ER21-1111 for status.]</a> | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-1115     | DEC, DEP   | Revisions to the Joint OATT to facilitate participation in SEEM platform, filed <a href="#">by DEC and DEP</a> 2/12/21. Comment period continued.  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-1116     | DEC  | Concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed <a href="#">by DEC</a> 2/12/21. Comment period continued. <a href="#">[See ER21-1111 for status.]</a>  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-1117     | DEP  | Concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed <a href="#">by DEP</a> 2/12/21. Comment period continued. <a href="#">[See ER21-1111 for status.]</a>  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-1118     | DEC, DEP   | LG&E / Kentucky Utilities concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. <a href="#">[See ER21-1111 for status.]</a>  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-1119     | DEC, DEP   | Georgia Power Co. concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. <a href="#">[See ER21-1111 for status.]</a>  | Q <del>2</del> <sup>1</sup> 2021                 |
| ER21-1120     | DEC, DEP   | Kentucky Utilities concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. <a href="#">[See ER21-1111 for status.]</a>   | Q <del>2</del> <sup>1</sup> 2021                 |

## Attachment A

Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).             | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------------------|--|---|--|
| ER21-1121                 | DEC, DEP   | Mississippi Power Co. concurrence with SEEM Agreement filed in Docket No. ER21-1111-000 by Alabama Power Company, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. <a href="#">[See ER21-1111 for status.]</a> | Q <del>2</del> + 2021                            |
| ER21-1125                 | DEC, DEP   | Alabama Power Co. OATT provision to facilitate Southern Companies' participation in the SEEM platform, filed 2/12/21. On 3/11/21 DEC and DEP intervened. <del>Comment period continued.</del> <a href="#">[See ER21-1111 for status.]</a> | Q <del>2</del> + 2021                            |
| ER21-1128                 | DEC, DEP   | Dominion Energy SC OATT provision to facilitate participation in SEEM platform, filed 2/12/21. On 3/11/21 DEC and DEP intervened. Comment period continued. <a href="#">[See ER21-1111 for status.]</a>                                   | Q <del>2</del> + 2021                            |
| ER21-1484                 | DEC, DEP   | Revisions to Joint OATT formula rates re recovery of 2019 DEP storm costs, filed 3/19/21. <del>Waiting for FERC order.</del> <a href="#">On 5/11/21 FERC accepted filing. (Closed 6/30/21)</a>  | Q <del>2</del> + 2021                            |
| ER21-1486                 | DEC  | Revisions to power purchase agreement with NCEMC, filed 3/19/21. <del>Waiting for FERC order.</del> <a href="#">On 5/17/21 FERC accepted filing. (Closed 6/30/21)</a>   | Q <del>2</del> + 2021                            |
| <a href="#">ER21-1579</a> | <a href="#">DEP</a>                                  | <a href="#">Revisions to OATT Attachment J (Large Generator Interconnection Procedures), filed 4/1/21. On 5/26/21 FERC issued deficiency letter. On 6/8/21 DEP responded to deficiency letter. Waiting for FERC order.</a>                | <a href="#">Q2 2021</a>                          |
| <a href="#">ER21-1681</a> | <a href="#">DEC</a>                                  | <a href="#">Metering Service Agreement with NCEMC, filed by DEC 4/14/21. On 6/8/21 FERC accepted filing. (Closed 6/30/21)</a>   | <a href="#">Q2 2021</a>                          |
| <a href="#">ER21-1719</a> | <a href="#">DEP</a>                                  | <a href="#">Notice of termination of facility interconnection and operation agreement with Capital Power Investments (formerly Cogentrix or EPCOR-Southport), filed by DEP 4/20/21. On 6/17/21 FERC accepted filing. (Closed 6/30/21)</a> | <a href="#">Q2 2021</a>                          |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).                    | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|----------------------------------|--|--|--|
| <a href="#"><u>ER21-1882</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>Dynamic Power Agreement with Southern Power, filed by DEC on 5/11/21. Waiting for FERC order.</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-1939</u></a> | <a href="#"><u>DEP</u></a>                           | <a href="#"><u>Revised depreciation rates in wholesale power agreements with NCEMC, Piedmont EMC, Haywood EMC, and French Broad EMC, filed by DEP 5/18/21. On 6/25/21 FERC accepted filing. (Closed 6/30/21)</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-1940</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>Revised depreciation rates in wholesale power agreements with NCEMC, Blue Ridge EMC, Piedmont EMC, Rutherford EMC, Dallas, Due West, Forest City, Lockhart Power, Haywood EMC, Central EPC, Highlands, and WCU, filed by DEC 5/18/21. On 6/25/21 FERC accepted filing. (Closed 6/30/21)</u></a> | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-1955</u></a> | <a href="#"><u>DEP</u></a>                           | <a href="#"><u>Affected System Operating Agreement (ASOA) with American Beech Solar, filed by DEP 5/20/21. Waiting for FERC order.</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-1960</u></a> | <a href="#"><u>DEP</u></a>                           | <a href="#"><u>Certificate of Concurrence with revisions to Interconnection Agreement with Dominion (Docket ER21-1949), filed by DEP 5/20/21. Waiting for FERC order.</u></a>  | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-2018</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>Notice of cancellation of OATT service agreements with Oglethorpe Power Corp. and Tenaska Power Services (firm and non-firm point-to-point transmission service agreements), filed 5/27/21. Waiting for FERC order.</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-2223</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>NITSA with NCEMC, filed by DEC 6/28/21. Waiting for FERC order.</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-2229</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>Notice of cancellation of OATT service agreements with FP&amp;L (firm and non-firm point-to-point transmission service agreements), filed 6/28/21. Waiting for FERC order.</u></a>  | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-2291</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>NITSA with City of Concord, filed by DEC 6/30/21. Waiting for FERC order.</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |
| <a href="#"><u>ER21-2295</u></a> | <a href="#"><u>DEC</u></a>                           | <a href="#"><u>NITSA with NCMPA #1, filed 6/30/21. Waiting for FERC order.</u></a>   | <a href="#"><u>Q2 2021</u></a>                   |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| P-432         | DEP  | Walters Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.  | Q <del>2</del> <sup>1</sup> 2021                 |
| P-2206        | DEP  | Yadkin-Pee Dee Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 10/29/18 FERC acknowledged notification of the inoperability of the Blewett Falls Hydro Station due to extreme flooding conditions experienced during Hurricane Florence. License Amendment: On 11/20/18 DEP filed a Non-Capacity License Amendment Application for several changes to the license, one of which is the installation of an inflatable gate on the Blewett Falls Spillway that will replace the existing wooden flash board system. Other changes include minor edits to Exhibit A, a revised Authorized Installed Capacity (AIC) due to the calculation using 18 CFR 11(i), and revisions to the upstream American eel and downstream American shad passage requirements of the New License. On 5/8/19 FERC issued an Order approving the License Amendment, with the exception of the AIC. On 7/5/19 DEP filed the revised Exhibit F's required by the approved license amendment. On 10/3/19 DEP filed the revised Exhibit A to include the Authorized Installed Capacity calculation with the Tillery house unit. On 5/12/20, DEP filed a license variance request to allow operations of Blewett | Q <del>2</del> <sup>1</sup> 2021                 |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | Falls Lake down to 9 feet below full pond. Final AIC filed with FERC on 8/31/20. On 3/2/21, FERC issued the order approving the revised Exhibit A and approving Project description and annual charges.   |  |
| P-2232        | DEC  | Catawba-Wateree Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. On 5/14/20 DEC filed an Application for Non-Capacity Amendment of License for the Project, wherein DEC proposed to replace the turbine runners for Units 1 and 2 at the Mountain Island Development. On 9/28/20 FERC issued order amending the license consistent with the change in capacity and revising annual charges. On 6/26/20 DEC filed a request for certification for a renewable energy production tax credit for efficiency improvement from the upgrades to the Unit 4 generator at the Cowans Ford development, completed in 2019. On 8/3/20 DEC filed an application for certification of incremental hydropower generation for the Wateree Development. On 8/18/20 DEC filed an application for certification of incremental hydropower generation for Unit 1 generator improvements at the Cedar Creek Development. On 11/24/20 FERC issued an order approving the Wateree Development Application. On 3/11/21 FERC issued an Order certifying the tax credits for Cedar Creek. On 3/24/2021 DEC filed an Application for Certification of Incremental Hydropower Generation for Unit 1 and Unit 2 improvements at the Mountain Island Development. Remaining FERC responses are pending. On 1/13/21 DEC filed a | Q <del>2</del> 1 2021                            |



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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|---|--|
|               |  | license variance request associated with maintenance activities at Rhodhiss Hydro Station. FERC approved the variance request on 3/15/2021.   |  |
| P-2331        | DEC  | Ninety-Nine Islands Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | <del>Q2</del> 2021                               |
| P-2380        | DEP  | Marshall Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.            | <del>Q2</del> 2021                               |
| P-2503        | DEC  | Keowee-Toxaway Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.      | <del>Q2</del> 2021                               |
| P-2686        | DEC  | West Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.           | <del>Q2</del> 2021                               |



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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| P-2692        | DEC  | Nantahala Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.    | Q <del>2</del> <sup>1</sup> 2021                 |
| P-2694        | DEC  | Queens Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans. | Q <del>2</del> <sup>1</sup> 2021                 |
| P-2698        | DEC  | East Fork Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.    | Q <del>2</del> <sup>1</sup> 2021                 |
| P-2740        | DEC  | Bad Creek Hydroelectric Project. Various routine filings pursuant to license conditions and FERC regulations, e.g. emergency action plan revisions, environmental compliance, fatalities, lake level elevation and deviation from normal minimal flow, public safety plan revisions, recreation planning and development, spillway gate operations and wildlife protection plans.    | Q <del>2</del> <sup>1</sup> 2021                 |
| RP19-987      | DEC, DEP   | East Tennessee Natural Gas, LLC 2017-2018 cash-out Report, filed 3/28/19. On 4/9/19 DEC and DEP intervened. Waiting for FERC order.  | Q <del>2</del> <sup>1</sup> 2021                 |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s). | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding  | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------|--|--|--|
| RP19-1523     | DEC, DEP   | Panhandle Eastern Pipe Line Company, LP general rate increase, filed 8/30/19. On 9/10/19 DEC and DEP intervened. On 9/30/19 FERC suspended rates, rejected tariff revisions, established hearing procedures and technical conference. Hearing was scheduled for 8/25/20, with initial decision 1/26/21. Settlement procedures were initiated. On 6/18/20 FERC granted requests for clarification but not rehearing. Hearing began 8/30/20 and concluded 9/14/20. Waiting for FERC order. Petition for review was filed with DC Circuit Court. On 3/26/21 FERC issued initial decision. <u>Exceptions were filed. Waiting for FERC order.</u>   | Q <del>2</del> + 2021                            |
| RP19-1556     | DEC, DEP   | Transco annual cash-out report for period ending 7/31/19. On 9/19/19 DEC and DEP intervened. Waiting for FERC order.   | Q <del>2</del> + 2021                            |
| RP20-614      | DEC, DEP   | Transco gas tariff revisions to revise monthly cash-out pricing calculations, filed 2/28/20. On 3/11/20 DEC and DEP intervened. On 3/30/20 FERC accepted for filing and then suspended the tariff records for 5 months, subject to refund and the outcome of hearing procedures established. Settlement judge procedures concluded. On 7/23/20 FERC denied clarification. On 8/24/20 parties requested rehearing. On 9/1/20 Transco filed revised tariff. On 9/24/20 FERC denied rehearing. On 9/30/20 FERC accepted 9/1/20 tariff. Settlement judge procedures established. On 2/25/21 FERC held procedural schedule in abeyance because parties have reached an agreement in principle. <u>On 6/29/21 uncontested offer of settlement was certified. Waiting for FERC order.</u> | Q <del>2</del> + 2021                            |
| RP20-618      | DEC, DEP   | Transco gas tariff revisions to resolve cash-out over- and under-recoveries, filed 2/28/20. On 3/11/20 DEC and DEP intervened. On 3/30/20 FERC accepted for filing and then suspended the tariff records for 5 months, subject to refund and the outcome of hearing  | Q <del>2</del> + 2021                            |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).        | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|----------------------|--|---|--|
|                      |  | procedures established. Settlement judge procedures continued. On 2/25/21 FERC held procedural schedule in abeyance because parties have reached an agreement in principle. <u>On 6/29/21 uncontested offer of settlement was certified. Waiting for FERC order.</u>  |  |
| RP20-726             | DEC, DEP   | East Tennessee November 2018 - October 2019 cashout report, filed 3/30/20. On 5/1/20 DEC and DEP intervened. Waiting for FERC order.  | Q <del>2</del> 2021                              |
| <del>RP20-1111</del> | <del>DEC, DEP</del>                                  | <del>Transeo revised procedures for allocating existing firm capacity that becomes available on its system, filed 8/20/20. On 9/1/20 DEC and DEP intervened. On 9/18/20 FERC accepted Transeo filing. On 11/16/20 FERC granted clarification. On 12/16/20 FERC accepted compliance filing. Request for rehearing was filed. On 1/2/21 FERC addressed arguments on rehearing and amended prior order. On 2/25/21 FERC accepted Transeo's 2/10/21 compliance filing. (Closed 3/31/21)</del> | <del>Q1 2021</del>                               |
| RP20-1196            | DEC, DEP   | Transco annual cash-out report for period ending 7/31/20. On 9/28/20 DEC and DEP intervened. Waiting for FERC order.  | Q <del>2</del> 2021                              |
| <del>RP21-392</del>  | <del>DEC, DEP</del>                                  | <del>Transeo update of the Delivery Point Entitlement (DPE) tariffs to reflect the increase in capacity associated with the January 1, 2021 in-service date of Transeo's Southeastern Trail Project, filed 1/19/21. On 2/1/21 DEC and DEP intervened. On 2/3/21 FERC accepted Transeo filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| <del>RP21-569</del>  | <del>DEC, DEP</del>                                  | <del>Transeo annual fuel tracker, filed 3/1/21. On 3/15/21 DEC and DEP intervened. On 3/19/21 FERC accepted Transeo filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| <del>RP21-579</del>  | <del>DEC, DEP</del>                                  | <del>Transeo revised Transmission Electric Power Cost rates, filed 3/1/21. On 3/15/21 DEC and DEP intervened. On 3/19/21 FERC accepted Transeo filing. (Closed 3/31/21)</del>   | <del>Q1 2021</del>                               |

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Open FERC Dockets – ~~Second~~First Quarter 2021

| Docket No(s).       | Affiliate with Party Status (Duke Energy, DEC, DEBS) | Description of Proceeding   | Quarter Reported Pursuant to Reg. Condition 3.10 |
|---------------------|--|---|--|
| <del>RP21-600</del> | <del>DEC</del>                                       | <del>East Tennessee Natural Gas (East Tennessee) amended Service Agreement with CNX Gas Co. for firm transportation service on the Jewell Ridge Lateral, filed 3/2/21. On 3/16/21 DEC intervened. On 3/17/21 FERC accepted East Tennessee filing. (Closed 3/31/21)</del>  | <del>Q1 2021</del>                               |
| <del>TS19-1</del>   | <del>Duke Energy, DEC, DEP</del>                     | <del>AMP Transmission, LLC application for waiver of standards of conduct requirements, filed 10/12/18. On 11/1/18 Duke Energy intervened on behalf of utilities. On 9/6/19 FERC requested additional information. On 10/7/19 AMP responded to FERC request. On 3/25/20 FERC issued waiver. On 4/24/20 AMP requested rehearing. On 5/22/20 FERC granted rehearing for further consideration. On 10/15/20 FERC addressed arguments on rehearing and modified prior order. (Closed 3/31/21)</del> | <del>Q1 2021</del>                               |

## Attachment B

Periodic Reports Filed with FERC – ~~First~~ Second Quarter 2021

| Docket No(s).                         | Filing Entity (Duke Energy, DEC, DEBS) | Description of Report  |
|---------------------------------------|--|--|
| N/A                                   | DEC, <u>DEP</u>                        | Form 1 – Annual Report for Major Electric Utilities, Licensees and Others <del>—revised 2017 report</del>  |
| <u>N/A</u>                            | <u>DEC, DEP</u>                        | <u>Form 3Q – Quarterly Financial Report</u>  |
| <u>N/A</u>                            | <u>Duke Energy</u>                     | <u>FERC-61 – Narrative Description of Service Company Functions</u>  |
| <u>N/A</u>                            | <u>DEC, DEP</u>                        | <u>Form 566—Report of Utility’s 20 Largest Purchasers</u>  |
| <u>NA</u>                             | <u>DEC, DEP</u>                        | <u>Form No. 582 – Annual Charges Report</u>  |
| N/A                                   | <u>DEC, DEP</u>                        | Form 714 – Annual Electric Balancing Authority Area and Planning Area Report<br><u>[DEP revised its report, which had been filed in Q1 2021]</u> |
| <u>N/A</u>                            | <u>DEC, DEP</u>                        | <u>Form 715—Annual Transmission Planning and Evaluation Report</u>   |
| <u>RM94-14</u>                        | <u>DEC, DEP</u>                        | <u>Nuclear Decommissioning Trust Fund Report</u>   |
| <u>ER09-1165;</u><br><u>ER11-3585</u> | <u>DEC, DEP</u>                        | OATT Formula Rate Annual Update  |
| <u>OA07-53;</u><br><u>OA08-100</u>    | <u>DEC, DEP</u>                        | <u>Annual Operational Penalty Assessments and Distributions</u>  |
| <u>ZZ19-1</u>                         | <u>DEC, DEP</u>                        | <u>Independent Auditor’s Report</u>  |